1971 ANNUAL REPORT

NEW YORK STATE RACING COMMISSION

TO THE
SECRETARY OF STATE
JOHN P. LOMENZO



JOSEPH A. GIMMA, Chairman
EDMOND M. HANRAHAN, Commissioner
WILLIAM L. PFEIFFER, Commissioner
HARRY J. MILLAR, Secretary
FRANCIS P. DUNNE, Steward
JOHN S. CLARK, Counsel

598 Madison Avenue New York 10022

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DEPARTMENT OF STATE

DIVISION OF THE STATE RACING COMMISSION 598 Madison Avenue New York, N. Y. 10022

March 10, 1972

The Honorable John P. Lomenzo
The Secretary of State
162 Washington Avenue
Albany, New York

DEAR JUDGE LOMENZO:

The State Racing Commission files with you herewith a full report of its proceedings for the year ended December 31, 1971, as required by section 6 of Chapter 440 of the Laws of 1926, as amended.

Very truly yours,

JOSEPH A. GIMMA,

Chairman

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ANNUAL REPORT - 1971

IN 1970 THE OVERALL ATTENDANCE at New York tracks was up 4.18 per cent and mutuel handle was up 6.94 per cent over the attendance and handle in 1969. The progress happily reported in 1970 has not continued; rather there has been a disturbing decline in both attendance and growth of mutuel handle. Despite 33 additional racing days, total attendance declined 2.31 per cent and mutuel handle increased only 0.58 per cent over 1970.

The generally prevailing economic conditions in 1971, the introduction of off-track wagering in the New York City metropolitan area, and the resistances of track patrons to the increase in the take out from 16 per cent to 17 per cent which went into effect March 8, 1971, undoubtedly contributed to the decline. While it is simple to attribute the decline to all of these factors collectively, in 1971 there was a nationwide trend toward increased take with a corresponding nationwide decline in attendance and wagering at Thoroughbred tracks. This suggests the prime cause of the decline.

In recent years there has been an enormous growth in the number of individuals participating in Thoroughbred racing as owners. Traditionally, a relatively small group of owners controlling large stables have comprised the owners racing in New York. With the passing years, large stables have diminished in number and, as a result, many individuals owning a few horses have entered the sport. This has served to increase the necessity for greater security and surveillance by the Commission. In 1971 the Commission investigated and exposed extensive irregularities in the ownership and registration of ownership in horses, including undisclosed ownership by ineligible persons. In 1972 and ensuing years, the Commission must exercise increasing caution and ever greater care in screening those who make application for licenses to participate in racing in New York State.

In its Annual Reports for the past several years the Commission has pointed up the great need for additional stall space and training facilities in the New York City metropolitan area to accommodate the increasing number of horsemen. The fact that the number of stalls in the metropolitan area has not increased since the number available in 1955 is deplorable and, in the view of the Commission, a cause of great discontent among horsemen. The Commission believes that the addition of 250 stalls is

urgently required. These facilities will serve to increase the fields in daily races and, as experience teaches, increase the mutuel handle.

Revenue to the State

The 393 days of racing in 1971 produced revenue to the State of \$85,583,454, a slight decrease from the 1970 record high of \$85,810,123.

A comparative summary follows:

	1971 393 days	1970 360 days	Increase	Per
Pari-mutuel commissions			Decrease	Cent
Pari-mutuel commissions	\$76,739,673		\$615,748	0.81
Pari-mutuel breakage	5,880,777	6,695,646	814,869	12.17
Admission taxes	1,765,283	1,808,027	42,744	2.36
Track supervisory fees (a)		35,150	35,150	
Franchise fees (NYRA) (b)	243,000	272,000	29,000	10.66
Track license fees	15,025	12,725	2,300	18.07
Laboratory fees-Harness and	S78.6.0000	27.4.7.7	17.47.000	
other	239,809	231,452	8.357	3.61
Laboratory fees—	2007 A 0000		-,	0.02
Thoroughbred(c)	181,700	107,000	74,700	69.81
Occupational licensing fees	60,157	57,300	2,857	4.99
Uncashed mutuel tickets (d)	453,585	465,563	11,978	2.57
Fines and miscellaneous				
r mes and miscenaneous	4,445	1,335	3,110	232.96
Totals	\$85,583,454	\$85,810,123	\$226,669	0.26
		- 10		

(a) Paid at the rate of \$1,850 per day for the first 19 days in 1970.
(b) Paid at the rate of \$3,000 per day for the first 19 days in 1970; thereafter at the rate of \$1,000 per day.

(c) Includes \$59,150 balance due for 1970.
(d) Estimated amount for 1971 (actual amount will not be determined until April 1, 1972).

Track Attendance and Admission Receipts

Saratoga and Finger Lakes race tracks showed gains in attendance and admission receipts over the previous year. Total track attendance and gross admission receipts for all four Thoroughbred tracks in the State decreased, however, by 2.31 and 2.47 per cent, respectively.

A comparison follows:

TRACK ATTENDANCE (Track staffs excluded)

Track Aqueduct ¹ . Belmont. Saratoga. Finger Lakes ² .	1971 4,046,129 1,874,977 432,674 580,130	1970 4,168,795 1,983,113 423,838 521,972	Increase Decrease 122,666 108,136 8,836 58,158	Per Cent 2.94 5.45 2.08 11.14
Totals	6,933,910	7,097,718	163,808	2.31

ADMISSION RECEIPTS

Gate Receipts (Exclusive of taxes)	1971	1970	$\begin{array}{c} \textbf{Increase} \\ \textbf{Decrease} \end{array}$	$_{\rm Cent}^{\rm Per}$
AqueductBelmont	3,268,247	3,422,392	\$210,706 154,145	2.93 4.50
Saratoga Finger Lakes	800,674 497,196	786,644 $442,124$	$\frac{14,030}{55,072}$	$1.78 \\ 12.46$
Totals	\$11,543,073	\$11,838,822	\$295,749	2.50
Admission Taxes				
New York State	\$ 1,765,283	\$ 1,808,027	\$ 42,744	2.36
New York City	1,190,028	1,226,622	36,594	2.98
Nassau County ³	375,150	392,363	17,213	4.39
Saratoga County	125,513	122,722	2,791	2.27
Ontario County	74,592	66,322	8,270	12.47
Totals	\$ 3,530,566	\$ 3,616,056	\$ 85,490	2.36
Gross Admission Receipts	\$15,073,639	\$15,454,878	\$381,239	2.47

Commission Cost of Operations - 1970

The following is a summary of Commission expenditures for the fiscal year ended March 31, 1971 with reimbursements received for the calendar year 1970.

Expenditures: Administrative services. *Track supervisory services.	Salaries \$201,414	Expenses \$ 66,063	Totals \$267,477
*Commission laboratory.	400,203 230,355	40,681 $51,400$	440,884 $281,755$
Total expenditures	\$831,972	\$158,144	\$990,116
Less: Reimbursements			
Track supervisory fees			
NYRA tracks			2 25 150
19 days from 3/10/70 to 3/31/70 @ \$1,850 Drug Testing Fees	per day		\$ 35,150
NYRA tracks			
215 days from 4/1/70 to 12/7/70 @ \$350 p	oer day	\$ 75,250	
Finger Lakes Track 127 days from 5/20/70 to 10/14/70 @ \$250	0	21 770	
121 days from 5/20/10 to 10/14/10 @ \$250	o per day.	31,750	
Total drug testing fees			107,000
Laboratory fees (harness tracks)			231,452
Occupational licensing fees			57,300
Total reimbursements			\$430,902
Net cost of Commission operations for 1970			\$559,214

^{*} Excludes state overhead.

 ¹⁴⁷ days in 1971 — 138 days in 1970.
 150 days in 1971 — 126 days in 1970.
 Belmont is located in New York City and Nassau County. The city gets 25 per cent, Nassau 75 per cent.

The net cost for the prior year was \$210,543. Subsequent to 1969 the State relieved the racing associations of the obligation to reimburse it for costs of track supervision, resulting in the substantial change in cost of operation.

Licensing Bureau

In 1971 the Licensing Bureau processed 7,092 applications, the largest number in the Bureau's history. A summary of the Licensing Bureau's activities follows:

NEW YORK RACING ASSOCIATION (NYRA) TRACKS

Classification	Applications Filed	Re- funds	Licenses Issued	License Fee	Total Fees
Original owner	480	75	405	\$25.00	\$10,125.00
Owner renewal	1,471	121	1,350	10.00	13,500.00
Trainer	478	35	443	15.00	6,645.00
Assistant trainer	136		136	15.00	2,040.00
Jockey	223	24	199	25.00	4,975.00
Jockey agent	49	3	46	10.00	460.00
Stable employee	2,897		2,897	2.50	7,242.50
Authorized agent	55	1	54	2.50	135.00
Farrier		î	23	10.00	230.00
Veterinarian	19		19	15.00	285.00
Totals	5,832	260	5,572		\$45,637.50
de emplimente non	FINGER LA	KES T	RACK		
Original owner	207	14	193	\$25.00	\$ 4,825.00
Owner renewal	333	6	327	10.00	3,270.00
Trainer	195	4	191	15.00	2,865.00
Assistant trainer	23		23	15.00	345.00
Jockey	87	6	81	25.00	2,025.00
Jockey agent	21	5	16	10.00	160.00
Stable employee		10000	381	2.50	952.50
Authorized agent	8	···i	7	2.50	17.50
Farrier	3	277	3	10.00	30.00
Veterinarian	2		2	15.00	30.00
Totals	1,260	36	1,224		\$14,520.00
Combined Totals	7,092	296	6,796	5.	\$60,157.50

Pari-Mutuel Statistics — 1971–1970

A total of \$783,951,445 was wagered at the four Thoroughbred race tracks in 1971, surpassing the record \$779,441,381 wagered in 1970.

Total attendance was 6,933,910. The 1970 figure was 7,097,718. The following statistics are to be compared in the knowledge that the Exacta form of wagering was introduced on June 29, 1970 at The NYRA tracks and that at Finger Lakes track the Quinella was replaced in 1971 by additional Exacta wagering.

Aqueduct, Belmont and Saratoga

	1971	1970	$\begin{array}{c} {\rm Increase} \\ {\it Decrease} \end{array}$	Per Cent
Number of days raced Attendance	6,353,780	$\substack{ 234 \\ 6,575,746}$	9 221,966	3.85 3.38
Pool Wagering Win	\$382,349,194 95,079,197 45,893,174 66,180,466 152,137,722	\$438,945,499 111,567,741 52,516,315 70,664,580 69,055,945	16,488,544 6,623,141	12.89 14.78 12.61 6.35 120,31
Totals	\$741,639,753	\$742,750,080	\$1,110,327	0.15

AVERAGE BET PER PERSON PER DAY BY POOLS

Win	\$ 60.18	\$ 66.75	\$ 6.57	9.84
Place	14.96	16.97	2.01	11.84
Show	7.22	7.99	.77	9.64
Daily Double	10.42	10.74	.32	2.98
Exacta	23.94	10.50	13.44	128.00
Totals	\$116.72	\$112.95	\$3.77	3.34
		And the second s		

DENOMINATIONAL WAGERING - PERCENTAGES

\$100 wagers	9.31%	9.77%
5 bu wagers	12.66	14.99
\$ 20 wagers	none	0.97
\$ 10 wagers	29.31	26.72
\$ 5 wagers	13.53	13.23
\$ 2 wagers	35.19	34.32
	100.00%	100.00%

Finger Lakes Track

	1971	1970	Increase Decrease	Per Cent
Number of days raced	150	126	24	19.05
Attendance	580,130	521,972	58,158	11.14
Pool wagering				
Win	\$14,577,290	\$15,415,990	\$ 838,700	5.44
Place	6,423,515	6,900,847	477,332	6.92
Show	2,706,859	2,880,244	173,385	6.02
Daily Double	5,844,646	5,022,234	822,412	16.38
Quinella Exacta	none 12,759,382	3,423,460 3,048,526	6,287,396	97.15
Totals	\$42,311,692	\$36,691,301	\$5,620,391	15.32

AVERAGE BET PER PERSON PER DAY BY POOLS

Win	\$25.13	\$29.53	\$4.40	14.90
Place	11.07	13.22	\$4.40 2.15	16.26
Show	4.67	5.52	.85	15.40
Daily Double	10.07	9.62	. 45	4.68
Quinella	******	6.56	9.59	77.34
Exacta	21.99	5.84∫	0.00	11.01
Totals	\$72.93	\$70.29	\$2.64	3.76

DENOMINATIONAL WAGERING - PERCENTAGES

\$100 wagers. \$ 50 wagers. \$ 10 wagers. \$ 5 wagers.	8.18% 27.88 9.74	none 10.59% 26.68 12.81
\$ 2 wagers	$\frac{54.20}{100.00\%}$	$\frac{49.92}{100.00\%}$

Track Highs for 1971

	Attend	lance	Day's Wagering		Race Wagering	
	Number	Date	Amount	Date	Amount	Date
Aqueduct	51,953	4/3	\$5,967,612	4/3	\$747,866	4/24
Belmont	82,694	6/5	6,972,209	6/5	1,120,843	$\frac{\hat{6}}{5}$
Saratoga		8/21	2,263,604	8/21	330,042	8/21
Finger Lakes.	10,929	5/31	692,125	5/31		

Record Track Highs Since 1940

	Attendance		Day's Wa	Day's Wagering		Race Wagering	
	Number Date		Amount	Date	Amount	Date	
Aqueduct Belmont	82,694		\$6,316,372 6,972,209	6/5/71	*\$955,602 **1,174,928	6/7/69	
Saratoga Finger Lakes.		$\frac{8/21/71}{9/3/62}$	$2,263,604 \\ 692,125$	$\frac{8}{21}$		8/18/66	

Daily Double Highs

Daily Double Pool			
Daily Double Payoff	4,313.90	At Belmont	August 27, 1945

Exacta Highs

Exacta Pool				1971
Exacta Payoff	1,869.20	At Belmont	September 7,	1970

^{*} The Belmont run at Aqueduct.

Purse Distributions

The total purse distribution of \$25,599,824 surpassed the 1970 record of \$23,589,565.

The average daily purse payout at The NYRA tracks in 1971 was \$96,306, surpassing the 1970 average of \$92,687. At the Finger Lakes track the average daily purse payout was \$14,651, a slight decrease from the previous year's \$15,086.

Summary follows:

Aqueduct Meetings:	Days Raced			Total Purses	Average Daily Purse	**Per Cent Purses to Pools
Spring. Summer. Fall.	66 30 51	\$ 126,650 90,100 115,800	*\$ 5,983,800 2,898,700 ***3,508,189	\$ 6,110,450 2,988,800 3,623,989	\$ 92,583 99,627 71,059	3.024
Totals	147	\$ 332,550	\$12,390,689	\$12,723,239	\$ 86,553	2.548
Belmont Meetings:	-					
Spring	30 42	\$ 172,300 418,880	\$ 3,138,000 4,285,400	\$ 3,310,300 4,704,280	\$110,343 112,007	
Totals	72	\$ 591,180	\$ 7,423,400	\$ 8,014,580	\$111,314	3.392
Saratoga Meeting:	24	\$ 186,125	\$ 2,478,300	\$ 2,664,425	\$111,018	6.814
NYRA Totals	243	\$1,109,855	\$22,292,389	\$23,402,244	\$ 96,306	3.006
Finger Lakes Meetings: Spring Summer Fall	49 53 48	\$ 1,115 3,700 6,865	\$ 718,900 772,100 694,900	\$ 720,015 775,800 701,765	\$ 14,694 14,638 14,620	4.681
Totals	150	\$ 11,680	\$ 2,185,900	\$ 2,197,580	\$ 14,651	5.166
Season Totals	393	\$1,121,535	\$24,478,289	\$25,599,824		••••

^{*} Excludes \$63,800 payment 1970 deficiency.

Track Financial Reports—for the 1970 Racing Season **NYRA Tracks**

The following is a condensation of The NYRA's statement of income for the fiscal year ended February 28, 1971 (for the 1970 racing season).

The net loss of \$1,772,651 occurred despite a record gross income of \$62,630,017.

Gross income from race meetings. Less: Race meeting expenses.	\$62,630,017 42,644,428
Income from race meetings	\$19,985,589

^{**} The Belmont.

^{**} The NYRA is required by a 1971 enactment to pay a minimum of 3.000 per cent of its total pools in purse distributions, including breeders awards. The NYRA paid \$15,708 additional in breeders awards, making a combined payout of 3.008 per cent.

The Finger Lakes track is required to pay a minimum of 4.958 per cent. Breeders awards of \$8,350 increased their payout to 5.186 per cent.

^{***} Excludes \$304,111 paid as result of off-track betting.

Operating expenses:			
Maintenance and operations. Depreciation of track facilities. Real estate taxes. Administrative expenses — racing operations. General and other administrative expenses. Other state and local taxes.	\$8,533,561 4,495,261 4,817,554 433,176 1,304,857 350,882	1	9,935,291
Operating income		\$	50,298 141,025
		\$	191,323
Other deductions:			
Interest on long-term notes Interest on short-term loans Amortization of debt and franchise expense	\$2,242,591 273,431 2,138		2,518,160
New York State franchise fee		\$	2,326,837 272,000
Loss before federal income tax reduction		\$	2,598,837
Federal income tax reduction principally arising from loss carryback			826,186
Net Loss		S	1,772,651

Finger Lakes Track

W. Austin Wadsworth of Geneseo became president of the Finger Lakes track in October, succeeding Francis D'Amanda. The 1971 season saw William A. Thayer, Jr. become the second general manager in the track's history, succeeding Luke H. O'Brien.

The following statement of income for Canandaigua Enterprises Corporation and Subsidiary (Finger Lakes) is for the period ended March 31, 1971.

CANANDAIGUA ENTERPRISES CORPORATION AND SUBSIDIARY STATEMENT OF INCOME

For the Year Ended March 31, 1971

Gross income	\$4,449,342 3,338,283
Income from race meeting	\$1,111,059
Operating expenses: Maintenance and occupancy	812,345
Net income from operations	\$ 298,714 121,004
(CO) 0 (S	\$ 419,718

Other deductions:		
Directors' fees	\$ 5,000 2,830	7,830
Net income before State and Federal taxes		\$ 411,888
State and Federal taxes:		
State taxes currently payable	\$ 10,500	
losses	202,200	212,700
* Net Income		\$ 199,188

^{*} Last year operating income before special items was \$87,858.

Legislation

In 1971 the Legislature amended the Racing Law and the Pari-Mutuel Revenue Law for the purpose of providing greater financial stability for The NYRA. The bill which originated with the Commission removed the 234-day limit of the number of days on which racing may be conducted by the racing associations during the period March 1 through December 15; increased the retained percentage of the pari-mutuel pools from 16 per cent to 17 per cent, The NYRA retaining the additional 1 per cent of their pools for corporate purposes; and increased for the period ending 1975 the percentage of the pari-mutuel pools which The NYRA is required to use exclusively for purses from 2.768 per cent to 3 per cent.

The Backstretch Pension Trust

At the instance of the Commission a large and diverse group of owners and trainers joined together in a committee for the purpose of constructing a pension program for backstretch workers employed at The NYRA tracks. The committee adopted a plan and designated trustees thereof. With the aid of the Commission it was presented to Internal Revenue Service as a qualified pension plan and was approved. At the end of 1971 approximately 98 per cent of the horsemen racing at The NYRA tracks had joined the plan.

The Jim French Case

During the summer of 1971 the Commission instituted an investigation of allegations of undisclosed ownership of horses at The NYRA tracks. Following the taking of extensive testimony from

numerous witnesses, the Commission suspended owners Frank J. Caldwell and Fred Cole. Subsequently the Commission suspended owner Ralph C. Wilson, Jr. and trainers George T. Poole and John Campo for 30 days each and notified Caldwell to show cause why his license as an owner should not be revoked. Caldwell and Cole thereupon surrendered their licenses.

The Commission's investigation disclosed that the three-yearold JIM FRENCH and several other horses which had raced in New York during the 1970 and 1971 seasons were the property, in part, of undisclosed and unlicensed owners.

The Commission's findings and determinations are reproduced in the appendix.

Acknowledgment

The Commission takes this occasion to record its grateful appreciation to Governor Nelson A. Rockefeller and his executive staff for their continued interest in the activities of the Racing Commission. Governor Rockefeller's personal interest in all phases of the Thoroughbred sport has made for easy communication between the State's chief executive and his three racing commissioners.

Additional expressions of the Commission's gratitude are directed to the Honorable Malcolm Wilson, Lieutenant Governor; the Honorable Louis J. Lefkowitz, Attorney General; the Honorable Arthur Levitt, Comptroller; and the Honorable Richard Dunham, Director of the Budget, who have invariably provided the Commission with aid and wise counsel.

The Commission extends its thanks to the Honorable John P. Lomenzo, Secretary of State. Judge Lomenzo and his highly efficient Department of State, of which the Racing Commission is a division, have always rendered instant and unfailing cooperation when called upon.

The Press

Thoroughbred racing in the Empire State was the recipient of generous news coverage throughout the 1971 season.

Coverage by the New York dailies and magazines together with their radio and television counterparts reached an audience of monumental proportions.

The dignity and good taste advanced by Thoroughbred racing's clever advertising campaigns also found favor with the Racing Commission.

Commission Staff Promotions During 1971

David F. Malone assumed the position of Assistant to the Steward. Mr. Malone joined the staff in 1951 and had served as Inspector and Racing Assistant in the License Bureau.

Allen J. Siegel assumed the position of Assistant Secretary, in charge of the Commission's executive offices. He had served the Commission as Inspector and Racing Assistant since 1960.

Michael A. Angelon became Racing Commission Auditor in October. A state employee since 1967, Mr. Angelon joined the Commission as Racing Examiner in 1969.

NYRA Board of Trustees

Three new members were elected to The NYRA Board of Trustees in 1971. Newman E. Wait, Jr., a resident of Saratoga Springs, was elected in July. He is president of both the Adirondack Trust Company and the Saratoga Performing Arts Center.

Elected in December, Seymour Knox of Buffalo has been chairman of the board of the Marine Trust Company since 1943. He is also chairman of the Council of the State University of New York at Buffalo and chairman of the New York State Council on the Arts.

Ogden Mills Phipps, elected in December, is the third generation of his family in Thoroughbred racing. A member of The Jockey Club since 1965, he launched his racing stable in the early 60's.

NYRA Names New Racing Secretary

A major change in The New York Racing Association's official family took place at the close of the 1971 season when Thomas E. (Tommy) Trotter, Racing Secretary and Handicapper since 1960,

relinquished his post and was succeeded by Kenneth Noe, Jr., Racing Secretary and Handicapper at the Garden State and Hialeah tracks.

Fred Capossela Retires

Closing day of the 1971 racing season at Aqueduct was marked by the farewell appearance of Fred L. Capossela who retired at age 70, after 37 years of service, having announced some 80,000 races. A former turf editor of the New York Post, a Commission inspector, and race caller for Tropical Park, Hialeah as well as The NYRA, Mr. Capossela will be succeeded by his assistant, Dave Johnson.

Upon his retirement, Alfred G. Vanderbilt presented him with a gold replica of the "Mike" he had used, inscribed "Fred Capossela—his best call was his own sense of duty, loyalty and perfection as America's finest Racing Announcer."

New York Breeding

The firm foundation on which Thoroughbred breeding now rests, in New York, was indicated by a number of factors in 1971. For one thing, the number of breeders registering foals, for the first time, brought the average of new registrants to 75 a year for the past eight years.

During the year, 295 individual New York-bred horses won 553 races on 43 of the country's racetracks. The contribution New York-breds have made to racing in New York may be gathered when it is noted that New York-breds won 48 races at the big tracks of The NYRA—Belmont Park, Aqueduct and Saratoga—and 179 races at Finger Lakes. The total, 227 wins, represents an increase of 49 over 1970.

Some 155 individual breeders bred the 295 winners of the 553 races, led by Mrs. Thomas M. Waller who had out 17 winners of 39 races. Dr. and Mrs. Joseph C. O'Dea bred 9 winners of 21 races; J. C. Lawrence 8 winners of 19; and Mrs. Elena Trenholm 6 winners of 16 races. The Tilly Foster Stock Farms of Mr. and Mrs. Edward E. Benedict had out 11 winners of 14 races and Mrs. Janis Maxion had 5 winners of 14 races. Mrs. Maurice Newton bred 5 winners of 13 and Chester C. Davis had 6 winners of 13 races. Dr. Leon D. Star and his partners had out 10 winners of 12 races, Michael J. Magde had 8 winners of 12 and Edwin T. Wilson 3 winners of 11 races.

New York's stallions did very well. The leader was egotistical, with 24 individual New York-bred winners of 63 races. Happy NASRULLAH had 11 winners of 26 races. The Lookover duo of PRINCE EDWARD and NASHOLIN had 15 winners of 24 races and 12 winners of 22 races, respectively. Dr. O'Dea's Lord Priam had 11 winners of 18; bold Tim, standing at the Schoenborn Bros. stud, had 6 winners of 14, and Dr. Star's RIP 'N SKIP had 10 winners of 13 races. Egotistical and Happy Nasrullah stand at the Tanrackin Farm of Mr. and Mrs. Thomas M. Waller in Westchester County.

Notable among the victories scored by New York-breds in 1971 were the stakes wins. The ninth running of the New York Breeders Futurity at Finger Lakes, in September, was won by Herbert E. Wilson's lord ellsworth, by reverse-ardennes, bred by John Nesbitt Smith. Mrs. Thomas M. Waller's follow the leader was second, with Dr. Leon D. Star's murphy's law third and Mrs. Waller's wauwinet fourth.

A record field of 19 two-year-old fillies contested the fifth running of the New York Breeders Juvenile Fillies Stakes late in August, with Dr. Star's foley's folly, by thomasville, emerging as the winner of the annual Finger Lakes fixture. Mrs. Waller's count your pennies was second, Edwin T. Wilson's queen shirl third and Dr. Star's alishay fourth. . . The New York Breeders Derby's third running took place in June at the Finger Lakes oval and was won by Mrs. Waller's swift wings, by mustato, with her gay fellow second, Chester C. Davis' geagle third and Spencer-Hill Farm's pray a little fourth.

Again in 1971, two races for New York-breds were carded at Saratoga in August. The first, for 2-year-olds, named "The Silent Screen," was won by Mrs. Waller's follow the leader, by egotistical, with Dr. Star's murphy's law and sklabadu second and third. The race for 3-year-olds and up, named "The Mr. Right," was won by James Papsidero's My JIM JIM, by EXCLUSIVE NASHUA, with William B. Heller's SHELTERING HILL second and Mrs. Waller's TED'S PICK third.

The New York State-Bred Registry is an integral part of the breeding program. Only horses registered with the Registry make their breeders eligible for the breeders awards offered, since 1964, to those who have bred winners at New York tracks. Since 1962,

1,686 New York-breds have been registered with the Registry, which is headed by the presidents of New York's two breeding associations and the director of the Thoroughbred Breeders Service Bureau.

The Genesee Valley Breeders Association, whose members are in the 31 western counties of the State, had another active year. In October, 1971, Rear Admiral Hubert W. Chanler was re-elected president. Dr. Joseph C. O'Dea is vice president, Mrs. Ann Glover, secretary-treasurer. The other members of the directorate are Michael J. Magde, W. Carvel Martin, William J. Maxion, Mario J. Ribarich, Col. Robert H. Dygert, Mark F. Welch, Charles W. Carson and Mrs. Patrick Lowther.

The 56th Annual Genesee Valley Breeders Fair, held September 4 and 5 on the grounds of the Lookover Stallion Station, was a great success. A total of 124 horses were entered in the 55 classes. Grand champion was W. Carvel Martin's chestnut yearling filly by shot free-dirndl. Best yearling colt was Michael Magde's bay by only once-baba bathra. Champion stallion of the show was Lookover's nasholin; Champion broodmare was Mr. and Mrs. John P. Vanderzell's destined lass.

The Eastern New York Thoroughbred Breeders Association, representing the 31 eastern counties of the State, again had a fine year. In November, at the annual meeting, Everett F. Schoenborn was re-elected president, William B. Heller is vice president, John P. Taylor secretary and Bertram F. Bongard treasurer. The other members of the Board of Directors are Edward E. Benedict, Emil Dolce, Dr. D. J. De Luke, Chester C. Davis, Thomas M. Waller, Hollie Hughes, Miss Ellen Bongard, William A. Levin and Hugh Gillen.

The Eastern New York Thoroughbred Breeders held their Annual Yearling Show in the beautiful walking ring at Belmont Park in September. The Tanrackin Farm of Mr. and Mrs. Thomas M. Waller had both the champion and reserve champion. The winner, the bay filly JOYEUX, by HAPPY NASRULLAH, had previously won the filly class; the reserve champion, the colt LIGHT AS AIR, by EGOTISTICAL, had won the colt class. Rojan Farms' fine fox, by RARE EARTH, was second in the filly class; Peter Pelligrino's FIFTH FOX, by RARE EARTH, was second in the colt class.

At the end of the year the December issue of the magazine, the New York Thoroughbred Breeder, was mailed to over 700 breeders.

It was a splendid addition to the file of magazines issued in previous years. The 64-page booklet listed the 97 stallions standing in New York for the 1972 breeding season, the New York-bred winners of races during 1971, articles on equine nutrition were featured, photographs of some of New York's outstanding breeding farms were shown and excerpts from breeding columns in *The Morning Telegraph* were included. Especially interesting were the advertisements of 49 of the stallions.

Again in 1971 the office of the Thoroughbred Breeders Service Bureau handled hundreds of inquiries on pedigrees, sales, mating advice, etc. Director Alex M. Robb and assistant director, William H. Welch, visited farms throughout the State. During the year Mr. Robb's weekly column—New York Breeding—appeared in *The Morning Telegraph*, as it has for the past nine years.

National Museum of Racing

The National Museum of Racing at Saratoga Springs continued to be a mecca for tourists and Thoroughbred racing enthusiasts in 1971. According to the Museum's Director, Mrs. Elaine E. Mann, attendance showed a marked rise over the previous year. The Museum also houses racing's Hall of Fame and a priceless collection of paintings, trophies and other turf memorabilia which has gained international recognition.

During the month of August, at its annual meeting, the Museum's trustees re-elected Gerard S. Smith as its President.

In December John W. Hanes was elected Chairman of the Board succeeding the late George D. Widener.

Hall of Fame

Three trainers, a jockey and three champion horses were inducted into the Hall of Fame in August. A standing room only gathering crowded the famed "Upper Room" of the National Museum of Racing to witness the ceremonies.

Trainers installed were Bill Winfrey, D. M. (Mike) Smithwick and the late Maryland horseman H. Guy Bedwell. The lone jockey honored was Albert Johnson, who may be best remembered as the rider of Morvich, winner of the 1922 Kentucky Derby. He rode more than 500 winners, most of them in New York State in the early twenties. His second Kentucky Derby winner was in 1926 astride Col. E. R. Bradley's BUBBLING OVER.

The three enshrined horses were LONGFELLOW, a champion of yesteryear, DR. FAGER and the steeplechaser, JAY TRUMP.

Museum President Gerard S. Smith presided and with John W. Hanes presented a scroll to George D. Widener in honor of his many contributions to American Thoroughbred racing. The scroll referred to his "Authority in the arts and Exemplar of sportsmen." It was accepted for the ailing Widener by his granddaughter, Mrs. Linda Grant of Philadelphia.

Protective Measures

The Racing Commission continued to give priority to the maintenance of the integrity of racing. The Commission kept constantly abreast of all matters involving security which directly affect the confidence in the sport.

The vast national investigative resources of the Thoroughbred Racing Protective Bureau (TRPB), the Pinkerton's New York Racing Service and the Finger Lakes Security Agency continued to be utilized. The TRPB conducted 570 investigations in various racing areas, including the screening of new applicants seeking occupational licenses from the Racing Commission. The Pinkerton's were responsible for the ejection and arrest of 202 persons for a wide variety of offenses, including felonious assault, disorderly conduct and harassment.

As in the past, close liaison was maintained in 1971 with the FBI, New York City Police Department, Nassau County Police Department, Internal Revenue Service, Immigration and Naturalization Service, the Queens County and Nassau County District Attorney's offices and the New York State Police.

The Work of the Laboratory

Illegal drugging of race horses in New York State has been very minimal in recent years. An intensive sampling and testing program is carried out by the Commission, and the security forces at the track are alert. However, in an era of extensive misuse of drugs, vigilance must be maintained to protect the public by excluding this evil from racing which has deservedly been called the best supervised spectator sport in the United States.

In 1971, a total of 13,258 official samples were analyzed by the laboratory for the New York State Racing Commission; 9,188 from Aqueduct, Belmont and Saratoga race tracks and 4,070 from the Finger Lakes track. In addition, 27,104 official samples from eight tracks under the supervision of the New York State Harness Racing Commission were analyzed. The laboratory analyzed 88 samples from the New York State Sire Stakes and 53 samples from the American Quarter Horse Racing Association of New York State. The total number of official samples analyzed was 40,503, more than in any prior year.

Three positive findings were reported. In one the drug, methocarbamol, was detected in a saliva sample taken at Belmont Park. The same drug was detected in a urine sample from the Finger Lakes track. In each case, appropriate action was taken by the stewards. Another case involved the detection of dipyrone in a urine sample from Monticello Raceway. Appropriate action was taken on this finding by the Harness Racing Commission.

Because of the multiplicity of drugs produced by the pharmaceutical industry, it is vital that extensive research on new drugs and new methods of analysis be carried out at every opportunity. The Commission's laboratory performs such research continuously, subject to the demands of the official sample work load. Changes in laboratory methods are continually being explored and implemented to increase capacity and broaden the coverage of the routine analyses. In 1971, 267 experimental projects and analyses were performed to increase the laboratory's own file of firsthand data. A lively correspondence has been maintained with other laboratories and literature searches are made to keep laboratory personnel informed concerning the best and most modern methods available for the exacting task of analyzing racing samples. Special courses are taken by senior personnel when opportunity occurs.

The chief chemist and the associate chemist attended the 25th annual meeting of the Association of Official Racing Chemists in San Juan, Puerto Rico. The associate chemist attended an American Chemical Society course in liquid chromatography. A visit was made to the New York State Drug Control Laboratory to examine the techniques in use at that facility. Additional visits to instrument manufacturers were made to acquire information about the applicability of new instrumental methods to drug detection and identification in racing samples.

The chief chemist attended the Round Table Discussion of Matters Pertaining to Racing at the invitation of The Jockey Club.

Visitors to the New York laboratory included 15 officials from Canada, Colorado, England, Japan, Kentucky, New York, Virginia and West Virginia.

Activities of the Testing Enclosure

During the 243 days of racing at Aqueduct, Belmont and Saratoga, 4,590 saliva samples were collected by the Supervisor of Drug Tests. Of these, 2,192 were from winners, 2,179 from horses which finished second, 109 from horses finishing third, 106 from fourth, 2 unplaced and 4 which were taken at the request of the Stewards.

Urine samples, totaling 4,598 in number, also were collected by Commission Inspectors. Of these, 2,192 were from winners, 2,180 from horses which finished second, 109 from horses finishing third, 106 from fourth, 1 unplaced, and 10 which were taken at the request of the Stewards.

During the 150 days of racing at Finger Lakes, 2,706 saliva samples were collected. Of these, 1,357 were from winners and 1,339 from horses finishing second, 3 from third-place horses, 4 from fourth, and 3 unplaced. Urine samples totaling 1,364 were collected. Of these, 1,357 were from winners and 7 at the request of the Stewards.

The Saratoga Sales

The 51st Annual Yearling Sales were conducted by Fasig-Tipton Company, Inc. at the Finney Pavilion on August 10–13. A total of 224 yearlings were sold for \$6,841,100, averaging \$30,541 per yearling which established a Saratoga sales record for gross sales and sales average. The previous record was established in 1970, with \$5,843,000 in sales and an average of \$26,803.

Highlight of the auction was the sale of a BUCKPASSER colt consigned by Nydrie Stud to Mrs. Marion du Pont Scott for a record \$235,000. Nine yearlings were auctioned for \$100,000 and above and 16 purchasers bought over \$100,000 worth of yearlings during the sale.

Horses of Racing Age

Five sales of horses of racing age were held in New York during the year, grossing \$2,565,200 for 317 horses, an average of \$8,092.

Dispersals

There were no major dispersal sales during the year. The Cragwood Stable, however, sold 26 horses of racing age in a reduction of racing operations. Other reduction sales were made by Chance Hill Farm, Ada L. Rice Stables and Alfred G. Vanderbilt.

Total Sales

The Yearling Sales and the five horses-of-racing-age sales conducted in 1971 grossed \$9,406,300 for the 561 horses sold. In 1970, the totals were \$9,013,400 for 678 head sold.

Apprentice Jockeys

A total of 54 apprentice jockeys were riding on The NYRA and Finger Lakes racecourses in 1971. On tracks operated by The NYRA, only 15 "bug riders" acquainted themselves with the winners circle out of 43 licensed apprentices.

A young Floridian, Gene St. Leon, headed the list with 50 winners in 319 tries. He also won the "Eclipse Award", emblematic of being the top apprentice in the nation for 1971. Miss Robyn C. Smith gave lie to the term weaker sex by saluting the judges on 30 of her 309 mounts. An attractive young lady, Robyn captured the imagination of many New York fans with her exploits on the track.

Other leading apprentices seen under colors were Jaime Arellano with 28 wins and Jesus Guadalupe with 27 wins, before becoming full-fledged jockeys during the season. Anthony Black had 21 victories, David Liverman 8, and 7 were won by Nathan Plotkin.

Miss Paula Herber led the apprentice parade at Finger Lakes with 13 wins in 117 starts. Nelson Otteson had 8 victories with Henry Aragon getting 6 and Robert W. Cook, 5.

Horses Raced

Aqueduct, Belmont and Saratoga

In compiling facts and figures for its Annual Report, the Commission records the racing performance of each horse which competed. The name of the horse, its owner, trainer and the jockey who had the mount in each contest are listed. At the season's end, these records furnish the purse award data for the report. The statistics reveal the following items of interest:

- (1) The number of individual horses raced during the 1971 season totaled 3,164, of which 173 were horses entered in jumping events.
- (2) In the 243 days of racing, there were 2,187 races with a total of 19,892 starters.

Winning Favorites

A total of 703, or one-third of the public choices, won in 2,124 races on the flat at Aqueduct, Belmont and Saratoga. The highest percentage of winning favorites was attained at the Aqueduct Final Meeting where 167 favorites won in 459 races, an average of 36 per cent.

There were 63 jumping races, and in these events 25 favorites won, an average of 40 per cent. The highest percentage of winning favorites was set at both the Aqueduct Summer Meeting where 8 public choices won in 20 races, and at the Belmont Fall Meeting where 10 winning favorites won in 25 starts, an average of 40 per cent.

At Finger Lakes, a total of 504 favorites won in 1,352 races, 37 per cent. The highest mark was reached at the Fall Meeting where 163 favorites won in 433 races, an average of 38 per cent.

Steeplechase Racing

At the conclusion of the 1971 steeplechase season it was announced by Alfred G. Vanderbilt, Chairman of The NYRA Board of Trustees, in a letter to the National Steeplechase and Hunt Association, that steeplechase racing will be confined to 20 races at the Saratoga meeting in 1972, eliminating jumping races at Aqueduct and Belmont. This will mark the first time the jumpers will be missing from the New-York City scene in 75 years.

Stephen C. Clark, Jr.'s SHADOW BROOK, steeplechase champion of the year, won The Lovely Night and The Grand National at Saratoga along with The Brook and the coveted Temple Gwathmey at Belmont, while earning \$98,602.

There were 63 races through the field in which 558 entries competed for purses totaling \$639,634. The leading owner in the amount of purses won was Stephen C. Clark, Jr. with \$104,023 followed by Montpelier with \$93,456.

Sidney Watters, Jr. was the leading trainer in purse awards with \$149,601. Peter M. Howe was second with \$116,573. The two leading jockeys in purse awards were Jerry R. Fishback with \$135,587 and Leo O'Brien with \$103,494.

The leading owners and purses won were:

Stephen C. Clark, Jr	\$104,023
Montpeller	93,456
Rokeby Stables	32,474
Mrs. Ogden Phibps	31,582
Mrs. Douglas R., Small, Jr	25,665
Augustin Stables	
Mrs. F. Ambrose Clark	22,735
Coventry Rock Stable	20,778
Mrs Coorgo H Bostwiel-	15,864
Mrs. George H. Bostwick	15,770
Allison Stern	15,684

The leading trainers and purses won were:

Sidney Watters, Jr	\$149,601
Peter M. Howe	116,573
Daniel M. Smithwick	60,340
Jonathan E. Shennard	42,619
Arthur R. White	40,394
John V. H. Davis	40,228
Douglas R. Small, Jr.	25,665
Michael G. Walsh	21,582
W. Durling Cocks	21,310
George H. Bostwick	20,702

The leading steeplechase jockeys and purses their mounts accounted for, follow:

Jerry R. Fishback	\$135,587
Leo U Brien	103,494
Joseph Altcheson, Jr.	87,038
Michael O'Brien	54,383
Clay Brittle	49,907
Robert S. McDonald	43,733
Ronald Armstrong	35,482
I nomas Skiffington, Jr.	28,540
David Wasner	22,895
Eugene Myer	15,770

The leading steeplechasers, their owners and earnings follow:

SHADOW BROOK	Stephen C. Clark, Jr	\$98,602
SOOTHSAYER	Montpeller	45,670
AMARIND	Mrs. D. S. Small, Jr., C. S. Bird, Jr.	32,365
WINIGO	Montpelier	27,990
WUSTENCHEF	Augustin Stables	17,487
JUAC HOLLOW	Mrs. George H. Bostwick	15,770
PERIGO	Montpelier	14,906
TOP BID	Mrs. Ogden Phipps	14,888
PREDOMINO	Frank H. Merrill, James J. Walsh, Jr.	14,565

There were 14 course records set over the steeplechase and hurdle courses at The NYRA tracks during the season, as follows:

Track	Distance	Date	Horse	Weight Carried	New Record
* Aqueduct.	1½ mi.	7/13	BRAGADO	138	2:401/5
* Aqueduct.		6/29	JUS BEHAVING	147	3:23 3/5
* Aqueduct.		7/21	AMARIND	151	3:513/5
* Aqueduct.		7/22	WUSTENCHEF	154	4:351/5
* Belmont		9/10	PERSIAN HONOUR	142	3:071/5
* Belmont		10/7	GRAN KAN	147	3:21%
* Belmont		10/1	CRANNOG	144	3:401/5
* Belmont	25% mi.	10/14	SOOTHSAYER	153	4:554
* Saratoga		8/6	GUN MOUNT	140	2:363/5
* Saratoga		8/13	AMERICUS	133	2:554/5
* Saratoga		8/16	JIVE	147	3:21
* Saratoga		8/12	SHADOW BROOK	156	3:43 3/5
** Saratoga		8/4	MOUSTACHE 2ND	140	4:534
** Saratoga	$2\frac{1}{2}$ mi.	8/26	SHADOW BROOK	155	4:37%

^{*} Hurdle.

Hoist the Flag Injured

One of the big New York stories in 1971 was the injury to a Triple Crown favorite, hoist the flag, owned by Mrs. Stephen C. Clark, Jr. Winner of the Bay Shore on March 20 by seven lengths, hoist the flag suffered a fracture of the first phalanx and the cannon bone of his right hind leg in a workout on the main Belmont Park track on March 31. He underwent surgery the same afternoon and the leg was placed in a fiberglass cast, as the entire sporting world looked on with apprehension to the "page one" stories in their daily papers. Hoist the flag, 1970 2-Year-Old Champion, now recovered, is at Claiborne Farm and will enter stud in 1972.

Horse of the Year — Eclipse Awards

A cross section of racing officials, sports writers and other turfmen, selected the Forked Lightning Ranch's 5-year-old ACK ACK Horse of the Year for 1971, in combined balloting by the Thoroughbred Racing Associations, the *Morning Telegraph-Daily Racing Form* and the National Turf Writers Association. This was the first time the major polls were consolidated to name the champions.

The dark bay son of BATTLE JOINED, purchased from the estate of the late Harry Guggenheim for a reported \$500,000 did all his racing in California in 1971. Trained by Charlie Whittingham, ACK ACK could sprint or go a distance. He won in any kind of

going and handled grass as easily as the dirt courses. ACK ACK raced in the colors of the Forked Lightning Ranch, nom de course of Mr. and Mrs. Edward E. (Buddy) Fogelson of Dallas, Texas. ACK ACK was also voted Best Colt, Horse or Gelding (4 and up) and Best Sprinter.

Sharing national attention with ACK ACK were CANONERO II and the gallant race mare, SHUVEE. In winning the Kentucky Derby and the Preakness, CANONERO II won the hearts of America's racing fans as he tried to be the first Triple Crown winner since 1948. The Belmont drew a record New York crowd of 82,694 which wagered a world record handle for the day of \$6,972,209 and established a world daily double pool of \$580,652. Fate was not on the side of CANONERO II as he finished fourth to Peter Kissel's PASS CATCHER. At the campaign's conclusion, CANONERO II was selected Best 3-Year-Old Colt or Gelding.

SHUVEE, a 5-year-old daughter of NASHUA-LEVEE carried the silks of Mrs. Whitney Stone, winning three major stakes in New York. On October 30 she won her second straight Jockey Club Gold Cup at Aqueduct. It was her valedictory appearance and some 40,000 fans gave her an ovation. SHUVEE started 44 times in her career, winning 16 races for a total earning of \$890,445. This made her the leading money winning mare in history. SHUVEE was selected Best Filly or Mare (4 and up).

Other divisional champions, most of whom campaigned on the New York tracks were: Best 2-Year-Old Colt or Gelding, RIVA RIDGE; Best 2-Year-Old Filly, NUMBERED ACCOUNT; Best 3-Year-Old Filly, TURKISH TROUSERS; Best Grass Horse, RUN THE GANTLET; and Best Steeplechase or Hurdle Horse was SHADOW BROOK.

An award for each of the 1971 champions is now presented at the "Winners Circle Dinner" in New York City. Called the "Eclipse Award," it is named for a famous 18th century English racehorse. All the Thoroughbred champions receive it along with those voted tops in their field for the year. Winners in 1971 were: trainer, Charles Whittingham; jockey, Laffit Pincay, Jr.; apprentice jockey, Gene St. Leon; owner-breeder, Paul Mellon; achievement, the late Charles Englehard; press photography, Art Rogers; news story, Scott Young; magazine story, Bill Surface; television, Burt Bacharach; and radio, Win Elliot.

^{**} Steeplechase.

Leading New York Performers

Leading performers on the Aqueduct, Belmont and Saratoga tracks this past season and their earnings were:

2-Year-Olds	
NUMBERED ACCOUNT. RIVA RIDGE	\$243,627 236,196 109,212
3-Year-Olds	
BOLD REASON. FAREWELL PARTY. RUN THE GANTLET.	\$140,442 140,024 116,560
4-Year-Olds and Up	
TUNEX. SHUVEE. PROTANTO.	\$163,314 162,803 152,990

The Leading Owners

Aqueduct, Belmont and Saratoga

Sigmund Sommer led the owners in purse awards with a record \$1,120,810. Hobeau Farm was second with \$889,658 and Cragwood Stable third with \$672,526.

The stables which won \$100,000 or more were:

	Starters	Winners	Purses
Sommer, Sigmund	. 529	86	\$1,120,810
Hobeau Farm		59	889,658
Cragwood Stable		38	672,526
Frankel, Marion R		68	513,863
Rokeby Stable		26	497,386
Calumet Farm		22	437,601
Levin, William A	7 (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)	35	388,887
Tartan Stable		25	356,826
Phipps, Ogden	***	13	344,975
Meadow Stable		12	292,380
Rice, Ada L		19	244,506
Hellman, Neil		17	232,955
Joe Mar Stable		33	231,035
Nadler, Saul		19	229,950
Hunt, Nelson B.		11	201,944
Windfields Farm		10	198,218
Darby Dan Farm		13	193,016
Greentree Stable.		14	191,567
		13	185,050
Perry, Wm. Haggin		12	180,888
Buckland Farm		18	179,897
Ring, Gustave		5	170,476
Kimmel, Caesar P		16	169,038
Allen, Herbert		17	164,883
Harbor View Farm	0.000	14	164,623
Elmendorf		3	163,253
Stone, Mrs. Whitney	211	15	157,572
Garren, Murray M		14	153,678
Walder, Marcel	130	11	151,628
Whitney, C. V		10	149,079
Vanderbilt, Alfred G		11	
Widener, George D	107	11	145,115

	Starters	Winners	Purses
Phipps, Ogden Mills	. 98	14	142,952
Meadowhill	. 73	13	136,700
Claiborne Farm	. 77	11	133,046
Rogosin, Harry	. 115	24	125,976
Oxford Stable	. 20	4	121,550
Weinsier, Randolph	. 87	13	119,212
Dryman, James I)	6	4	114,522
October House Farm	. 7	1	112,614
Jacobs, Ethel D	. 47	6	111,040
Lichtenstein, Eleanor K	. 83	10	110,716
Haggin, Louis L., II	. 67	11	110,192
Fink, Leo R	. 114	10	108,065
Brookmeade Stable	. 48	10	108,011
Hooper, Fred W	. 71	9	107,376
Chance Hill Farm	. 102	12	105,230
Wygod, Martin J	. 93	11	105,064

OWNERS WHO WON TEN OR MORE RACES AND AMOUNT OF PURSES WON

	Starts	1	Pla 2			% of Starts		avorit		Purses
	~ ****	1100000		3	4	Won	No.	Won	%	Won
Allen, Herbert	107	16	10	11	10	15	13	6	46	\$ 169,038
Belle-Web Stable	50	10	9	6	8	20	8	6	75	69,250
Bertolotti, Alexander	42	11	5	5	5	26	13	6	46	57,510
Brookmeade Stable	48	10	5	7	9	21	8	4	50	108,011
Buckland Farm	104	12	13	7	9	12	10	5	50	180,888
Calumet Farm	145	22	34	13	17	15	35	12	34	437,601
Chance Hill Farm	102	12	10	15	11	12	7	2	29	105,230
Claiborne Farm	77	11	6	9	10	14	12	5	42	133,046
Cragwood Stable	195	38	24	28	26	19	32	9	28	672,526
Darby Dan Farm	104	13	13	12	14	13	13	4	31	193,016
Elcee H Stable	61	10	8	12	5	16	6	3	50	98,224
Elmendorf	114	14	17	14	12	12	9	2	22	164,623
Fink, Leo R	114	10	12	8	23	9	8	4	50	108,065
Five Corners Stable	50	10	5	8	6	20	12	4	33	64,813
Frankel, Marion R	318	68	50	47	46	21	84	31	37	513,863
Garren, Murray M	211	15	24	27	22	7	14	3	21	157,572
Ges Stable	96	11	9	8	15	11	8	ĭ	13	72,322
Greentree Stable	84	14	19	9	10	17	17	5	29	191,567
Haggin, Louis L., II	68	11	11	5	7	16	6	2	33	110,192
Harbor, View Farm	179	17	13	17	17	9	21	7	33	164,883
Hellman Neil	67	17	3	13	5	25	13	5	38	232,955
Hobeau Farm	278	59	44	39	35	21	79	29	37	889,658
Hunt, Nelson B	47	11	8	7	4	23	12	4	33	201,944
Joe-Mar Stable	238	33	29	17	34	14	34	8	24	231,035
Levin, William A	208	35	27	35	21	17	56	22	39	388,887
Lichtenstein, Eleanor K	83	10	13	16	12	12	5	22		110,716
Meadow Stable	62	12	5	6	6	19	10	6	60	292,380
Meadowhill	73	îã	15	12	11	18	15	2	13	
Miller, Lloyd I	78	14	2	îõ	4	18	6	î	17	136,700
Nadler, Saul	202	19	21	28	26	9	25	6	24	74,894
Perry, Wm. Haggin	95	13	12	10	15	14	25	6	24	229,950
Petigrow, Charles	79	12	7	9	8	15	9	2	22	185,050
Phipps, Ogden	71	13	11	8	5	18	16	10		80,454
Phipps, Ogden Mills	98	14	15	17	9	14	25		63	344,975
Rice, Ada L	166	19	24	27	19	11	24	10	40	142,952
Ring, Gustave	211	18	18	12	21	9	22	5 8	21	244,506
Rivera, Francisco G	56	14	6	5	7	25	17		36	179,897
Rogosin, Harry G	115	24	14	16				8	47	76,008
Rokeby Stable		26	27	17	15 15	21	12	4	33	125,976
Sharpan Stable	133					20	34	10	29	497,386
Sommer, Sigmund	45	14	6	2	6	31	16	8	50	96,750
Testes Stable	529	86	80	74	68	16	106	34	32	1,120,810
Tartan Stable	149	25	24	14	16	17	36	12	33	356,826
Vanderbilt, Alfred G		10	9	17	.7	.9	. 8	3	38	149,079
Walder, Marcel	130	14	23	18	11	11	12	4	33	153,679
Weinsier, Randolph	87	13	10	8	16	15	12	7	58	119,212
Whitney, C. V	95	11	12	.8	13	12	6	2	33	151,628
Widener, George D	107	11	10	16	8	10	23	6	26	145,115
Windfields Farm	94	10	12	6	13	11	10	3	30	198,218
Wise, Philip	58	12	6	4	4	21	8	4	50	69,692
Wygod, Martin J	93	11	16	11	7	12	12	4	33	105,064

The Leading Trainers

Aqueduct, Belmont and Saratoga

Frank Martin was the leading trainer with 106 winners out of 577 starts on the flat. Martin had the third best season record of any trainer in New York racing history, falling behind only Hirsch Jacobs' 129 winners in 1936 and Howard Jacobson's 110 in 1963. Martin had a record five winners on the final day.

Philip G. Johnson was second with 78 winners out of 384 starts and John P. Campo was third with 69 winners out of 530 starts.

Frank Martin also headed the list of trainers in the amount of purses won with \$1,222,712.

Winners of \$100,000 or More

Trainers who won \$100,000 or more in purse money were:

Martin, F. 577 106 \$1,222,712 Jerkens, H. A. 312 63 934,942 Campo, J. P. 530 69 855,202 Miller, M. 178 36 654,138 Johnson, P. G. 384 78 626,913 Burch, E. 147 28 520,146 Frankel, R. 319 68 518,183 Laurin, E. 203 26 498,955 Laurin, L. 256 33 472,193 Freeman, W. C. 244 24 424,994 Cornell, R. 145 22 437,601 King, W. P. 416 65 405,193 Schulhofer, F. S. 178 28 388,386 Penna, A. 75 21 366,650 Jacobs, J. W. 114 15 365,067 Nickerson, V. J. 253 33 360,883 Stephens, W. C. 237 27 354,930 Heard, T. H., Jr. 312 31 349,443 Parisella, J. 250 46 309,556		Starters	Winners	Purses
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Jacobs, E. 122 17 180,218 Horn, P. J., Jr. 134 15 178,354	Imperio, D A			
Horn, P. J., Jr	Jacobs, E	. 122		
				178,354
	Cardile, S		18	177,363
Conway, J. P			11	164,418

	Starters	Winners	Purses
Parke, I. H	. 180	17	164.373
Daidill, I. G	QA.	ĩi	151,628
Dragiev. J. M	195	13	146,052
		îi	145,115
Corbenini, W. R.	205	11	
rugione, J	202	12	140,776
Guilo, I. J.	922	19	139,929
Smithwick, D. M.	66	8	136,039
Jacobs, S	. 114		133,386
Cohn, M.	106	15	132,910
King, E. W.	100	26	129,730
Croll, W. A., Jr.	. 180	19	128,242
Moor I C	12	5	127,279
Moos, J. G	176	18	122,488
Marks, M	20	4	121,550
Yowell, E.	. 11	2	117,204
Rieser, S. M.	7	4	114,522
Imperio, L.	156	15	111,455
waner, 1. W	82	10	106,477
Dotter, R. L	QQ	12	106,424
Sedlacek, W	130	13	101,512

Trainers and Winning Favorites

Thirty-eight trainers saddled 15 or more favorites with Marvin Cohn attaining the highest percentage. Eighteen of the 106 horses he saddled were made favorites and 12 were winners for a mark of 67 per cent. Lucien Laurin was second with a percentage of 54 and Nichols Combest was third with 50 per cent.

The records of the trainers who saddled 15 or more favorites follow:

	Favorites	Winning Favorites	Per Cent
Barrera, L. S	. 21	5	24
Duren, E.	49	12	29
Campo, J. P	70	21	
Com, M	192	12	30
Compest, N.	20	10	67
Cornen, R	35	12	50
DiMauro, S	28	12	34
Frankel R	04		43
Freeman, W. C.	23	31	37
Gaver, J. M	17	8	35
Gullo, T. J.	200	5 8	29
Heard, T. H., Jr	26		31
Imperio D A	34	10	29
Imperio, D. A.	44	- 15	34
Imperio, L	19	6	32
Jackson, E. S.	26	8	31
Jacobs, J. W.	27	7	26
Jerkens, H. A	82	30	37
Johnson, P. G.	80	27	34
Kelly, T. J	22	7	32
King, W. P	54	16	
Laurin, L	26	14	30
Laurin, R.	39	17	54
Maioney, J. W	24	5	44
Martin, F	128	48	21
Martin, J.	47		38
Miller, M	32	15	32
	04	9	28

	Favorites	Winning Favorites	Per Cent
Moos, J. G	. 19	5	26
Nadler, H		6	33
Nickerson, V. J	. 31	8	26
Parisella, J	. 60	18	30
Parke, I. H		7	32
Penna, A	~ -	10	48
Puentes, G	. 17	4	24
Schulhofer, F. S	. 37	13	35
Stephens, W. C	. 25	6	24
Veitch, S. E	25	6	26
Watters, S., Jr		6	35
Wright, F. I		5	22

TRAINERS WHO SADDLED TEN OR MORE WINNERS

There were 439 trainers who saddled 19,334 horses in 2,124 races run on the flat at the Aqueduct, Belmont and Saratoga racecourses. A listing of those who had 10 or more winners follows:

			Plac	ed		% of				
	Starts	1	2	3	4	Starts Won		avorite Won	%	Purses Won
Dalas C M	. 82	10	8	10	4	12	6	1	17	\$ 78,660
Baker, G. M		11	12	8	13	12	6	2	33	151.628
Balding, I. G		25	20	22	33	11	21	5	24	264,566
Barrera, L. S		13	7	17	8	10	12	5	42	146,052
Bradley, J. M		28	31	18	17	19	42	12	29	520,146
Burch, E.	530	69	77	77	65	13	70	21	30	855,202
Campo, J. P		18	26	24	28	10	14	3	21	177,363
Cardile, S	. 81	11	6	12	13	14	7	2	29	208,239
Carron, D. W	. 106	26	8	10	8	25	18	12	67	129.730
Cohn, M		25	19	10	13	17	20	iō	50	184,665
Combest, N		11	9	11	3	- 9	12	5	42	164,418
Conway, J. P		îî	21	18	25	5	9	2	22	140,776
Corbellini, W. R		22	34	13	17	15	35	12	34	437,601
Cornell, R	. 145	10	12	6	7	13	2	2	100	56.146
Danko, A. J	. 00	34	32	38	25	12	28	12	43	297,594
DiMauro, S	. 287	12	9	14	29	12	5	1	20	106,424
Dotter, R. L		12	11	16	6	10	9	2	22	81.854
Fiore, A. A	. 121	68	50	48	46	21	84	31	37	518,183
Frankel, R	. 319	24	26	32	17	10	23	8	35	444,994
Freeman, W. C				9	10	17	17	5	29	190,317
Gaver, J. M	. 84	14	19		18	8	26	8	31	136,039
Gullo, T. J	. 233	19	34	27 36	42	10	34	10	29	349,443
Heard, T. H., Jr	. 312	31	31		8	14	6	3	50	98,810
Hicks, W. O	. 72	10	8	2	12	11	11	4	36	178,354
Horn, P. J., Jr	. 134	15	12	18		14	44	15	34	180,361
Imperio, D. A	. 185	25	21	32	21				32	
Imperio, L		15	19	14	24		19 26	6	31	111,455
Jackson, E. S	. 138	19	19	10	14		13	8	46	188,785
Jacobs, E	. 122	17	12	12	11	14	27	7	26	180,218
Jacobs, J. W	. 120	21	25	15	14		14	8	57	365,067
Jacobs, S	. 114	15	13	11	18			4		132,910
Jensen, K. E	. 154	16	4	19	20		12 82		33	97,468
Jerkens, H. A	. 312	63	48	48	38	20	80	30 27	37	934,942
Johnson, P. G	. 384	78	63	49	43	20			34	626,913
Kelley, W. A	. 79	11	.8	8	13		13 22	7	54	75,018
Kelley, W. A Kelly, T. J	. 171	23	20	20	24			7	32	266,245
King, E. W	. 180	19	16	19	11	11	14	2	14	128,242
King, W. P	. 416	65	49	42	54		54	16	30	405,193
Lake, R. P	. 107	11	9	12	12	10	3	.3	100	96,881
Laurin, L	. 256	33	25	31	28		26	14	54	472,193
Laurin, R	. 203	26	29	23	20		39	17	44	498,955
MacLeod, C., Jr	. 154	13	8	14	13		5	3	60	84,012
Maloney, J. W	. 94	12	12	10	15		24	.5	21	180,850
Martin, F	. 577	106	87	76	74		128	48	38	1,222,712
Martin, J	. 255	38	29	19	31		47	15	32	256,055
Miller M	. 178	36	22	26	22		32	9	28	654,138
Moos, J. G	. 176	18	17	16	18		19	5	26	122,488
Murray, R. B	. 105	16	7	6	9		9	5	56	90,320
Nadler, H	. 155	16	12	20	20		18		33	184,593
Nickerson, V. J	. 253	33	42	32	21		31	. 8	26	360,883
Parisella, J	. 250	46	32	39	28		60		30	309,556
Parke, I. H	. 179	17	13	17	16	8	22	7	32	164,373

			Plac	ed		% of				
	Starts	1	2	3	4	Starts		avorite Won	%	Purses Won
Penna, A	. 95	21	16	14	10	22	21	10	48	\$366,650
Picou, J. E	. 258	27	29	28	36	10	14	2	14	252,532
Puentes, G	. 282	19	30	37	31	7	17	4	24	189,974
Rigione, J	. 202	12	19	19	35	6	11	4	36	139,929
Rondinello, T. L	. 104	13	13	12	14	13	13	4	31	193,016
Ross, C	. 106	13	9	9	16	12	8	2	25	75.644
Sansaverino, R. J	. 152	12	19	13	19	8	11	2	18	81,234
Schmitt, W. F	. 97	14	17	8	10	14	13	5	38	85,314
Schulhofer, F. S	. 178	28	24	16	19	16	37	13	35	388,386
Sedlacek, W	. 130	13	9	15	23	10	8	ĩ	13	101,512
Stephens, W. C	. 237	27	38	31	26	11	25	6	24	354,930
Veitch, S. E	. 108	11	10	16	8	10	23	6	26	145,115
Waller, T. M	. 98	12	11	15	8	12	7	3	43	106,477
Walsh, T. M	. 84	10	7	12	9	12	5	ĭ	20	85,640
Watters, S., Jr	. 126	17	14	16	15	13	17	6	35	191,899
Wright, F. I	160	17	22	27	18	ii	23	5	22	207,746

The Leading Jockeys

Aqueduct, Belmont and Saratoga

Jorge Velasquez leads the jockey list with 205 winners out of 1,199 mounts followed by Angel Cordero, Jr. with 168 out of 1,134 and Braulio Baeza with 148 out of 716.

A record 10 jockeys had mounts whose purse awards were in excess of \$1,000,000. Jorge Velasquez was high with \$2,161,596 followed by Angel Cordero, Jr. with \$1,785,973 and Braulio Baeza at \$1,508,567. Following in order were Ron Turcotte, \$1,501,583; Robert Woodhouse, \$1,363,856; Jean Cruguet, \$1,295,539; Jacinto Vasquez, \$1,268,884; Chuck Baltazar, \$1,187,145; Laffit Pincay, Jr., \$1,153,762; and Mike Venezia, \$1,029,971.

Jockeys on Favorites

Of the jockeys who rode 15 or more favorites John Ruane had a winning percentage of 46 followed by Gene St. Leon with 44 and Jesus Guadalupe with 41.

	Favorites	Winning Favorites	Per Cent
Velasquez, J	. 218	76	35
Cordero, A., Jr	. 206	60	29
Baeza, B	. 196	68	35
Vasquez, J	. 132	31	23
Pincay, L., Jr	. 130	41	32
Belmonte, E	. 120	37	31
Turcotte, R	. 120	42	35
Woodhouse, R	. 112	42	38
Cruguet, J	. 102	40	39
Rotz, J. L	. 95	37	39
Baltazar C	. 91	29	32
Venezia, M	. 88	33	38
Arellano, J. E	. 76	30	39
Ussery, R	. 73	24	33
Maple, E	. 43	13	30
St. Leon, G	. 39	17	44
Ruane, J	. 35	16	46
Adams, L	. 33	7	21
Gustines, H	. 23	7	30
Guadalupe, J	. 22	9	41

JOCKEYS WHO WON TEN OR MORE RACES AND AMOUNT OF PURSES WON

			Pla	had		% of Starts	F	avorite	ng.	Purses
	Starts	1	2	3	4	Won	No.	Won	%	Won
Adams, L	504	33	44	47	43	7	33	7	21	\$ 421,160
Arellano, J. E	756	92	84	68	104	12	76	30	39	719,850
Baeza, B	716	148	107	97	91	21	196	68	35	1,508,567
Baltazar, C	927	104	104	98	105	11	91	29	32	1,187,145
Belmonte, E	606	93	87	71	80	15	120	37	31	977,228
	166	21	13	21	23	13	14	5	36	119,837
Black, A		168	172	158	131	15	206	60	29	1,785,973
Cordero, A., Jr		127	114	159	142	11	102	40	39	1,295,539
Cruguet, J	448	45	36	48	52	10	22	9	41	282,598
Guadalupe, J	404	28	41	30	45	7	23	7	30	415,332
Gustines, H	232	11	17	20	16	5	4	i	25	102,376
Loguercio, A	615	47	68	62	55	8	43	13	30	647,713
Maple, E	621	124	101	79	74	20	130	41	32	1,153,762
Pincay, L., Jr	581	76	57	53	59	13	95	37	39	966,579
Rotz, J. L		45	34	47	47	9	35	16	46	584,017
Ruane, J	516			30	33	10	14	4	29	227,542
Smith, Robyn	309	30	24 31	47	37	16	39	17	44	313,576
St. Leon, G	319	50			33	11	12	7	58	288,817
Tejeira, J	281	30	26	28	97		120	42	35	1,501,583
Turcotte, R	944	123	135	115		13	73	24	33	643,058
Ussery, R	495	76	59	47	52	15			23	1,268,884
Vasquez, J	1,060	110	107	147	122	10	132	31		
Velasquez, J	1,199	205	196	164	162	17	218	76	35	2,161,596
Venezia, M	1,107	103	130	112	111	9	88	33	38	1,029,971
Woodhouse, H	279	11	15	27	19	.4	9	1	11	128,895
Woodhouse, R	1,108	111	108	112	136	10	112	42	38	1,363,856

Track Records

There were six track records set on the flat at NYRA tracks during the season, as follows:

Date	Horse	Weight Carried	New Record
12/4	PARAJE	116	3:52
9/14	AQUA BELLE	115	1:343/5
6/12	THE PRUNER	116	1:341/5
9/22	PROTANTO		2:023/5
6/12	DRUMTOP		2:25%
8/14	BEAUKINS	112	1:21%
	12/4 9/14 6/12 9/22 6/12	12/4 PARAJE	Date Horse Carried 12/4 PARAJE

^{*} Turf

Finger Lakes

During the 150 day racing season at the Finger Lakes Track 209 trainers started 11,063 horses in 1,352 races.

A listing of owners, trainers and jockeys who won ten or more races follows:

OWNERS

	Starts	1st	2nd	3rd	4th	Purses
Abelove, M. A	74	11	6	7	10	\$14,755
Albright, G. W		12	8	13	9	17,540
Bass, W	42	10	8	8	7	16,997
Burnett, C	150	27	24	17	16	39,620
Carroll, M. R		11	6	8	12	15,645
Cecere, F		12	5	14	8	16,603
Christmas, Mrs. W. G		13	3	11	8	16,164
Collins, H. W		13	8	3	5	17,559
Conway, Mrs. D. H		14	10	8	6	19,129

	Starts	1st	2nd	3rd	4th	Purses
Faugh, W. C., Jr	79	11	10	12	9	\$ 18,312
Ferraro, S	75	13	7	7	6	16,764
Fritko, G	82	14	14	14	12	22,028
Graves, P. F. Jacobs, D. E.	104 101	17	18	18	14	26,500
Maxion, W. J.	76	15 16	11 7	12 13	11 8	21,587
Meadow Winds Farm	105	15	16	13	15	21,154 $23,353$
Murphy, B. H., Jr	186	16	15	26	24	26,975
Osborne, G	93	26	24	15	12	35,932
Plever, M	82 138	11 16	12 19	12	5	18,176
Rowan, Mrs. M	119	12	21	19 23	9 11	25,084 23,399
Schatz, G. J	89	22	7	12	16	27,267
Schatz, J	95	11	19	10	16	19,628
Scott, K. Spencer Hill Farm.	$\begin{array}{c} 65 \\ 116 \end{array}$	10 19	12	12	7	16,216
Star, L. D	89	12	23 10	$^{11}_{7}$	19 11	30,898
Strange, B	94	28	23	16	9	$24,852 \\ 38,724$
Strange, B Waller, Mrs. T. M	59	17	15	7	4	35,832
TH	RAINE	RS				
N V S MARKED	Starts	1st	2nd	3rd	4th	Purses
Adams, J. B	102	13	16	20	10	\$ 22,925
Albright C. W.	166	15	9	16	25	23,230
Albright, G. W	$\frac{123}{320}$	21 38	$\frac{12}{42}$	15	12	28,227
Barrera, G. S. Bayley, P. Burnett, G. I.	92	17	10	51 18	46 12	62,846
Burnett, G. I	80	12	9	18	9	23,727 $18,492$
Durnett, K. I.	438	77	56	51	54	110,931
Christmas, W. G. Conway, D. H.	72	13	3	12	.8	16,344
Czadzeck, W. L.	$\frac{233}{198}$	52 27	$\frac{48}{22}$	31	17	88,420
Daugherty, W. S	99	12	12	29 13	23 10	40,728 $19,760$
Ferraro, M	39	11	3	3	3	12,621
Gal, W. F.	97	10	9	13	12	15,610
Garafono, K. M	109	10	11	15	9	15,884
Green, R.	$\frac{228}{75}$	36 10	38 11	32	32	55,784
nerper. D	74	13	8	9 10	11 13	16,131
Hubbard, C. C.	52	13	8	7	10	19,558 $19,088$
Jacobs, D. E	300	49	39	34	36	69,115
McCall, L. E	118	16	9	14	8	22,100
McMath, W. H	49	12	8	7	5	18,355
Mulrooney, P. J. Murphy, B. H., Jr.	340 235	33 20	41 18	62 33	40	60,789
	174	43	36	27	$\frac{27}{23}$	33,123
Parvin, C. W	58	12	8	7	6	$\frac{58,362}{18,970}$
Plever, M	175	21	27	20	17	35,811
Poliziani, D. J.	327	47	38	57	30	68,821
Progno, J	167	26	28	16	28	41,390
Rosenbloom, H.	132 90	23 10	17	9	20	32,458
Rowan, S. C	201	23	17 30	5 35	$\frac{19}{25}$	17,513
Rowan, S. C Scarborough, E. H.	61	10	8	10	7	40,413 $14,087$
Schatz, G. J	196	33	28	26	34	48,753
Scott. K	66	10	12	12	7	16,216
Smith, W. H	121	11	10	12	13	17,517
St. Leon, C. W	145 96	$\frac{21}{28}$	23	24	24	35,108
Tardy, P. J	96 85	28 11	23 17	16 11	9	38,792
Taylor, G. E	59	11	7	9	11	19,194 $16,814$
Wright, F	152	13	13	21	23	22,054
						300000000000000000000000000000000000000

courses, 15 less than in 1970, a year in which Thoroughbred racing covered a period of 234 days. A breakdown, indicating where the disqualified horses actually finished and where they were officially placed by the Stewards, follows:

		Where	Placed	
Number of Disqualifications	2nd	3rd	4th	Unplaced
Horses finishing first — 15	5	3	2	5
Horses finishing second -21		5	6	10
Horses finishing third — 18			5	13
Horses finishing fourth -7				7

These 61 disqualifications are the result of inquiries by the Stewards or claims of foul by the jockey, trainer or owner of the horse alleged to be aggrieved. Following is a breakdown indicating the number of disqualifications that resulted from Stewards' inquiries and the number from claims of foul:

Stewards' Inquiries		Fouls Claimed	
Disqualifications	$\frac{50}{34}$	Disqualifications Disallowed	11 93

For infractions of the rules during the actual running of the races, the Stewards suspended jockeys on 28 separate occasions. Jesus Guadalupe was the recipient of four such suspensions (one of these while riding as an apprentice) for a total of 50 days. Jockey Angel Cordero, Jr. received three suspensions for a total of 30 days, while Jockeys Ron Turcotte and Chuck Baltazar were suspended twice each for totals of 20 days. The remaining 17 suspensions involved 17 different jockeys as the rulings listed in this report will show.

On four occasions the Stewards levied fines totaling \$500 against jockeys for infractions of the rules during the actual running of the races. Additional fines in the amount of \$3,220 were levied by the Stewards against various licensed personnel over the course of the racing season.

An account of disciplinary action by the Stewards, with the penalties resulting therefrom, follows:

- March 10—CLIMBER, disqualified from second position and placed last, 7th Race March 9. Jockey Jean Cruguet held blameless.
- March 16—TUNEX, disqualified from first position and placed fourth, 7th Race March 15. Jockey John Ruane held blameless.
- March 17—Jockey Chuck Baltazar, suspended 10 days, March 19 to 28, 6th Race March 16. His mount, BALDWIN, disqualified from third position and placed last.

- March 23—Apprentice jockey Anthony Black, fined \$100 for misuse of his whip during the running of the 3rd Race, March 22.
- March 26—Stable employee Jesus Antonio Acosta, suspended and denied admission to the grounds for 60 days, March 26 to May 24, for creating a disturbance in the paddock, March 20.
- April 1—MYKANOS, disqualified from second position and placed last, 8th Race March 31. Jockey Ron Turcotte held blameless.
- April 1—Jockey Jorge Velasquez, fined \$100 for misuse of his whip during the running of the 5th Race, March 31.
- April 1—Jockey Ron Turcotte, fined \$100 for misuse of his whip during the running of the 8th Race, March 31.
- April 9—Jockey Ron Turcotte, suspended 10 days, April 12 to 21, 8th Race April 8. His mount, Morses Mill, disqualified from third position and placed last.
- April 16—Jockey John Tartaglia, suspended 10 days, April 19 to 28, 2nd Race April 15. His mount, MARKET JUNGLE, disqualified from third position and placed last.
- April 16—Jockey Angel Cordero, Jr., suspended 10 days, April 19 to 28, 5th Race April 15. His mount, NATIVE GAME, disqualified from second position and placed third.
- April 16—GLANCING, disqualified from second position and placed last, 6th Race April 15. Jockey Rudy L. Turcotte held blameless.
- April 21—Apprentice jockey Robert Barbara, suspended 10 days, April 23 to May 2, 6th Race April 20. His mount, DUTY FREE, disqualified from third position and placed last.
- April 22—POLA, disqualified from third position and placed last, 3rd Race April 21. Jockey Chuck Baltazar held blameless.
- April 29—camp glow, disqualified from first position and placed last, 6th Race April 28. Jockey Ron Turcotte held blameless.
- April 30—The entries of Mark Leach are refused and he is denied admission to the grounds of The New York Racing Association pending the settlement of his financial obligations.

- April 30—Apprentice jockey Floyd McKinley Campbell, Jr., suspended pending his appearance before the Stewards.
- May 3—TYRANT, disqualified from second position and placed last, 7th Race May 1. Jockey Bill Hartack held blameless.
- May 5—PLUM BOLD, disqualified from second position and placed last, 7th Race May 4. Jockey Eddie Maple held blameless.
- May 10—The ruling of April 30 against Trainer Mark Leach is terminated and he is restored to good standing.
- May 10—Stable employee Charles A. O'Brien, suspended and denied admission to the grounds for the remainder of the meeting for creating a disturbance at Belmont Park, May 4.
- May 14—camp glow, disqualified from second position and placed fourth, 4th Race May 13. Jockey Angel Cordero, Jr. held blameless.
- May 18—Jockey Mike Hole, suspended 10 days, May 20 to 29, 7th Race May 17. His mount, ROLLICKING, disqualified from second position and placed fourth.
- May 20—Jockey Eddie Belmonte, fined \$200 for being late in reporting to the Jockeys' Room, after repeated warnings.
- May 21—Jockey Eddie Belmonte, suspended 10 days, May 24 to June 2, 2nd Race May 20. His mount, PINK A BOO, disqualified from first position and placed last.
- May 25—Jockey Anthony Loguercio, suspended 10 days, May 27 to June 5, 5th Race May 24. His mount, ICY BLAST, disqualified from third position and placed last.
- May 28—Jockey Milton Barra, suspended 10 days, May 31 to June 9, 9th Race May 27. His mount, FUEYE, disqualified from fourth position and placed last.
- June 7—Jockey Jorge Velasquez, fined \$250 for creating a disturbance in the Jockeys' Room after the 1st Race, June 5.
- June 16—Jockey Eddie Maple, suspended 10 days, June 18 to 27, 1st Race June 15. His mount, HAIL TO CLASS, disqualified from second position and placed fourth.

- June 22—SEMINOLE CHIEF, disqualified from first position and placed third, 5th Race June 21. Jockey Jacinto Vasquez held blameless.
- June 25—Stable employee Eric Bernard, suspended and denied admission to the grounds for 60 days, June 28 to August 26, for using obscene language to a female stable employee, and for making false statements to the Stewards.
- June 26—Jockey Angel Cordero, Jr., suspended 10 days, June 29 to July 8, 6th Race June 25. His mount, on the track, disqualified from first position and placed second.
- June 28—PLENUM, disqualified from third position and placed fifth, 5th Race June 26. Jockey Robert Woodhouse held blameless.
- June 28—WALTZING BEE, disqualified from second position and placed third, 8th Race June 26. Apprentice jockey Neil Humphreys held blameless.
- July 10—The suspension of apprentice jockey Floyd McKinley Campbell, Jr., as per ruling of April 30, hereby terminated.
- July 12—ROCK SUN, disqualified from third position and placed last, 8th Race July 10. Jockey Jean Cruguet held blameless.
- July 13—HYDRONAUT, disqualified from second position and placed fourth, 6th Race July 12. Jockey Ron Turcotte held blameless.
- July 13—Trainer John Rigione, fined \$200 for engaging in an altercation in the Club House.
- July 14—Steeplechase jockey Joseph Aitcheson, fined \$100 for engaging in an altercation in the Jockeys' Room.
- July 14—Steeplechase jockey Jerry Fishback, fined \$100 for engaging in an altercation in the Jockeys' Room.
- July 14—Jockey Jean Cruguet, suspended 10 days, July 16 to 25, 1st Race July 13.
- July 15—witness stand, disqualified from third position and placed last, 1st Race July 14. Jockey Manuel Ycaza held blameless.
- July 17—Apprentice jockey Jesus Guadalupe, suspended 10 days, July 20 to 29, 2nd Race July 16. His mount, BALL PARK, disqualified from second position and placed last.

- July 22—Jockey Chuck Baltazar, suspended 10 days, July 24 to August 2, 3rd Race July 21. His mount, DELLIE DOUG-LASS, disqualified from second position and placed last.
- July 22—Jockey John L. Rotz, suspended 10 days, July 24 to August 2, 7th Race July 21.
- July 23—warino, disqualified from third position and placed last, 6th Race July 22. Jockey Eddie Maple held blameless.
- July 30—EROCH, disqualified from third position and placed fourth, 8th Race July 29. Jockey Robert Ussery held blameless.
- Aug. 2—Jockey Jesus Guadalupe, suspended 20 days, August 4 to 23, for rough riding in the stretch, 8th Race July 31.
- Aug. 5—Trainer LeRoy Jolley, fined \$100 for failing to bring his horse, MIAHI, to the paddock for the 4th Race.
- Aug. 5—Trainer Richard Brian Murray, fined \$50 for being late in bringing his horse, MISS HENDERSON, to the paddock for the 7th Race.
- Aug. 7—FAINT PRAISE, disqualified from second position and placed third, 9th Race August 6. Steeplechase jockey Clay Brittle held blameless.
- Aug. 13—Steeplechase apprentice jockey Larry Bates, suspended five days, August 16 to 20, for disobedience to the starter before the 9th Race, August 12.
- Aug. 13—Steeplechase apprentice jockey Thomas Skiffington, Jr., suspended five days, August 16 to 20, 9th Race August 12. His mount, Athenian idol, disqualified from first position and placed third.
- Aug. 14—Apprentice jockey Robyn C. Smith, fined \$50 for failing to comply with the backstretch traffic regulations.
- Aug. 18—Jockey Hector Pilar, suspended 10 days, August 19 to 28, 3rd Race August 17.
- Aug. 19—TUDOR GLEEMAN, disqualified from second position and placed last, 4th Race August 18. Jockey John L. Rotz held blameless.
- Aug. 21—cherry red, disqualified from second position and placed last, 1st Race August 20. Jockey Chuck Baltazar held blameless.
- Aug. 23—Trainer George T. Poole, fined \$50 for failing to name his jockey at the regular time.

- Aug. 24—Jockey agent Louis De Fichy, fined \$50 for using foul and abusive language in the paddock area.
- Aug. 25—Jockey Stanley Allen Spencer (SS 402-70-4665, TRPB 101649), suspended, denied admission to the grounds, and his case referred to the Commission. Spencer was found to have prohibited electrical devices and dangerous drugs in his car in the stable area.
- Aug. 26—Steeplechase jockey Jerry Fishback, fined \$100 for using objectionable language in the jockeys' enclosure.
- Sept. 2—Jockey Larry Adams, fined \$100 for reporting late to the Jockeys' Room after repeated warnings.
- Sept. 4—LEARNAM, disqualified from first position and placed second, 1st Race September 3. Jockey Jacinto Vasquez held blameless.
- Sept. 6—Jockey Ron Turcotte, suspended 10 days, September 8 to 17, 8th Race September 4. His mount, fresh alibhai, disqualified from first position and placed second.
- Sept. 13—Trainer Warren J. Pascuma, Jr., fined \$250 for medicating a horse after it was entered, necessitating a scratch.
- Sept. 15—Trainer Douglas R. Small, Jr., fined \$250 for bringing a horse to the paddock in an unfit condition to race.
- Sept. 16—KING CHRISY, disqualified from third position and placed last, 6th Race September 15. Jockey Jorge Velasquez held blameless.
- Sept. 20—LUCKY BIDDER, disqualified from fourth position and placed last, 4th Race September 18. Jockey Larry Adams held blameless.
- Sept. 29—AUBE ROUGE, disqualified from fourth position and placed last, 6th Race September 28. Jockey Angel Cordero, Jr. held blameless.
- Oct. 2—KINGS HEIR, disqualified from second position and placed fourth, 6th Race October 1. Jockey Jacinto Vasquez held blameless.
- Oct. 4—NORTHERN CHALLENGE, disqualified from fourth position and placed last, 4th Race October 2. Jockey Jean Cruguet held blameless.
- Oct. 4—COUGAR 2ND, disqualified from first position and placed third, 7th Race October 2. Jockey Willie Shoemaker held blameless.

- Oct. 6—Jockey Laffit Pincay, Jr., fined \$200 for misusing his whip during the running of the 8th Race, October 5.
- Oct. 6—The suspension of stable employee James Matthew Carter, dated September 14, 1963, is terminated as of this date.
- Oct. 12—Jockey Jesus Guadalupe, suspended 10 days, October 14 to 23, 3rd Race October 11. His mount, LITTLE NASSAU, disqualified from second position and placed third.
- Oct. 12—Apprentice jockey Gene St. Leon, suspended 10 days, October 14 to 23, 5th Race October 11. His mount, LONE COWBOY, disqualified from fourth position and placed last.
- Oct. 15—Stable employee Albert Obermark, fined \$10 for smoking in the barn at Belmont Park.
- Oct. 20—Stable employee Robert M. Dzurilla, fined \$10 for smoking in the barn at Aqueduct Race Course.
- Oct. 21—GUSTAVUS ADOLPHUS, disqualified from second position and placed last, 3rd Race October 20. Jockey Jean Cruguet held blameless.
- Oct. 21—EXPLODENT, disqualified from first position and placed ninth, 3rd Race October 20. Jockey Braulio Baeza held blameless.
- Oct. 21—Jockey Laffit Pincay, Jr., fined \$150 for disregarding the instructions of the Clerk of Scales.
- Oct. 22—The New York State Racing Commission Laboratory reported that the saliva test of the Cragwood Stable's RAISE YOUR GLASS, trained by MacKenzie Miller, which finished second in the 3rd Race on Friday, October 1, at Belmont Park, was positive, indicating the presence of methocarbamol (also known by the trade name Robaxin) which is of such a character as could affect the racing condition of the horse in such race. An intensive investigation by the Stewards, aided by the Throughbred Racing Protective Bureau and Pinkerton's Inc., disclosed that:
 - No evidence was found of negligence or carelessness on the part of Mr. Miller or the stable employees.

- 2. No motive was found for the medication of this horse, and no evidence was found that Mr. Miller or any of his employees had anything to do with this.
- The Stewards, after reviewing all the evidence, have decided that neither Mr. Miller nor any of his employees violated any part of Part 42, section 42.2 of the Rules of the Racing Commission. The Stewards are of the opinion that no disciplinary action should be taken, and have so reported to the Commission. The money awards of the race participated in by RAISE YOUR GLASS has been redistributed as follows: HARDY LIFE—placed 2nd; BUCK'S NASHUA—placed 3rd; SILVER MALLET—placed 4th. This ruling does not affect the mutuel payoff.
- Oct. 28—Jockey Angel Cordero, Jr., suspended 10 days, October 30 to November 8, 3rd Race October 27. His mount, EVER ON, disqualified from third position and placed last.
- Nov. 1—MR. TATTERSALL, disqualified from third position and placed last, 9th Race October 30. Jockey Jaime Arellano held blameless.
- Nov. 3—Jockey Carlos H. Marquez, suspended 10 days, November 5 to 14, 4th Race November 2. His mount, MISTY MOMENT, disqualified from first position and placed last.
- Nov. 5—CARELESS JOE, disqualified from second position and placed fourth, 8th Race November 4. Jockey Jorge Velasquez held blameless.
- Nov. 9—Jockey Daniel Rodriguez, suspended 10 days, November 11 to 20, 1st Race November 8. His mount, ROAD MAIL, disqualified from first position and placed last.
- Nov. 12—Stable employee Jorge L. Delgado, suspended 10 days, November 13 to 22, for engaging in a disturbance in the stable area at Aqueduct racecourse, November 5.
- Nov. 12—Stable employee Howard L. Williams, suspended 10 days, November 13 to 22, for engaging in a disturbance in the stable area at Aqueduct racecourse, November 5.
- Nov. 12—Thomas N. Gorman, D.V.M., fined \$200 for misuse of the parking privilege.
- Nov. 12—Stable employee Edward Joseph Parisen, fined \$200 for misuse of the parking privilege.

- Nov. 13—Assistant trainer Heinz T. Schwab, fined \$200 for misuse of the parking privilege.
- Nov. 17—Jockey Jorge Velasquez, suspended 10 days, November 19 to 28, 5th Race November 16. His mount, disputation and placed fourth.
- Nov. 22—AM AROUND, disqualified from second position and placed third, 8th Race November 20. Jockey Angel Cordero, Jr. held blameless.
- Nov. 24—TIME PASSER, disqualified from third position and placed fourth, 1st Race November 23. Jockey Ernest Cardone held blameless.
- Nov. 25—OUTWARD BOUND, disqualified from fourth position and placed last, 3rd Race November 24. Jockey Ron Turcotte held blameless.
- Dec. 1—HAPPY BEHAVE, disqualified from first position and placed second, 7th Race November 30. Jockey Angel Cordero, Jr. held blameless.
- Dec. 4—Jockey Jean Cruguet, fined \$250 for engaging in an altercation in the Jockeys' Room, December 3.
- Dec. 4—Jockey Sandino Hernandez, fined \$250 for engaging in an altercation in the Jockeys' Room, December 3.
- Dec. 4—Jockey Laffit Pincay, Jr., suspended 10 days, December 7 to 16, 1st Race December 3. His mount, NATIVE RINGS, disqualified from fourth position and placed last.
- Dec. 6—Assistant trainer Eddie L. Worsley, fined \$100 for unsuitable conduct.
- Dec. 6—FEATHERED RULER, disqualified from third position and placed fourth, 6th Race December 4. Jockey Laffit Pincay, Jr. held blameless.
- Dec. 7—Jockey Jesus Guadalupe, suspended 10 days, December 9 to 18, 1st Race December 6. His mount, High TIMBER, disqualified from first position and placed fourth.
- Dec. 15—KAUAI LADY, disqualified from third position and placed fourth, 1st Race December 15. Apprentice jockey Gene St. Leon held blameless.
- Dec. 15—DARK DESTINY, disqualified from first position and placed second, 3rd Race December 15. Jockey Larry Adams held blameless.

Rulings of the Stewards

Finger Lakes Track

The Stewards disqualified 33 horses during the 150 days of racing at Finger Lakes. A breakdown, indicating where the disqualified horses actually finished and where they were officially placed by the Stewards, follows:

		Wh	ere Pl	aced		Un-
Number of Disqualifications	2nd	3rd	4th	5th	6th	placed
Horses finishing first — 12	9		1			2
Horses finishing second — 9		3	2	2		
Horses finishing third — 8			2	2		4
Horses finishing fourth — 2					1	1
chorses unishing inth — 1					1	
Horses finishing sixth -1						1

The following breakdown indicates the number of disqualifications which were the result of inquiries by the Finger Lakes' Stewards and the number accounted for by claims of foul:

Stewards' Inquiries		Fouls Claimed	
Disqualifications	22	Disqualifications	11
No action taken	- 8	Disallowed	43

Jockeys were suspended by the Finger Lakes' Stewards on 23 different occasions. Fines totaled \$700.

An account of disciplinary action by the Stewards, with the penalties resulting therefrom, follows:

- April 30-Trainer Abilio A. Suarez, restored to good standing.
- May 13—Jockey Claudio Valeri, suspended five days, May 16 to 20, 2nd Race May 12.
- May 13—BELLE SERI, disqualified from first position and placed second, 2nd Race May 12. Also, KHALED CELERITY, disqualified from second position and placed third, 2nd Race May 12. Jockeys Jimmy Wise and Claudio Valeri held blameless.
- May 17—Trainer Robert L. Burnett, fined \$25 for employing unlicensed personnel.
- May 21—Trainer Michael Zeller, fined \$25 for employing unlicensed personnel.
- May 21—Trainer Ernest Michael Zeppetella, fined \$25 for employing unlicensed personnel.
- May 21—Stable employee Donald E. Roberts, suspended pending disposition of charges against him in Canandaigua Court.

- May 21—Trainer Alexander Romanyk, fined \$50 for failing to have two horses on the grounds for the running of the 5th and 7th Races, May 18, causing both horses to be scratched.
- May 24—Jockey Edward Maribona, fined \$50 for initiating an altercation after the 6th Race, May 22.
- May 28—Trainer Charles A. Ciaio, fined \$25 for failure to have GREEK MUSIC on the grounds, 7th Race May 27, causing horse to be scratched.
- May 28—Trainer Gerald Taylor, fined \$50 for employing unlicensed personnel and admittedly making false statements to the Stewards concerning same.
- May 31—coquette roman, disqualified from first position and placed second, 2nd Race May 29.
- May 31—Jockey Larry Gold, suspended five days, June 3 to 7, 2nd Race May 29.
- June 3—ED HENSLEE, disqualified from third position and placed last, 3rd Race June 2.
- June 3—Jockey Eddie Maribona, suspended five days, June 6 to 10, 3rd Race June 2.
- June 5—FELLOW LODGER, disqualified from third position and placed fourth, 7th Race June 4. Jockey Robert Mc-Keever, Jr., held blameless.
- June 5—Stable employee Patricia Bilodeau, fined \$25 for engaging in an altercation in the stable area.
- June 5—Groom Thomas Whiteman, fined \$25 for engaging in an altercation in the stable area.
- June 5—Stable employee Susan Grela, hereby restored to good standing.
- June 16—Trainer William G. Christmas, fined \$50 for failing to appear to saddle his horse after having been previously warned.
- June 17—CHOKOLOSKEE, disqualified from first position and placed last, 8th Race June 16. Jockey Edith Hinsley held blameless.
- June 21—Groom Mark J. Kimball, suspended pending his appearance before the Stewards.

- June 22—ROCKET AGAIN, disqualified from first position and placed second, 7th Race June 21.
- June 22—Jockey Terry Hickmott, suspended five days, June 25 to 29, 7th Race June 21.
- June 24—BLUE IN THE FACE, disqualified from fourth position and placed sixth, 6th Race June 23. Jockey Rudy Rincon held blameless.
- June 25—SMOKE THE GRASS, disqualified from fifth position and placed sixth, 1st Race June 24. Jockey Robert Harris held blameless.
- June 26—QUE MON, disqualified from fourth position and placed last, 1st Race June 25. Jockey Michael Roffo held blameless.
- June 26—Groom John H. Watson, fined \$25 for being abusive to a security guard.
- June 30—PRINCE ELMWOOD, disqualified from first position and placed fourth, 3rd Race June 29. Jockey Gerald Kent held blameless.
- July 2—Authorized agent license of David Masters hereby withdrawn without prejudice.
- July 3—RAGTIME FELINE, disqualified from second position and placed last, 1st Race July 2. Jockey Russell Reynolds held blameless.
- July 3—Stable employee Patricia Bilodeau, suspended pending her appearance before the Stewards.
- July 5—Jockey Gary Mahon, fined \$25 for engaging in an altercation in the jockeys' quarters July 3.
- July 5—Jockey Claude Gilbert, fined \$25 for engaging in an altercation in the jockeys' quarters July 3.
- July 10—Stable employee Dennis A. Anderson, suspended pending disposition of assault charges in Farmington Court.
- July 10—Concession employee Phillip A. Lovering, denied the privileges of the grounds pending disposition of arson charges in Ontario County Court.
- July 12—mickey rablero, disqualified from third position and placed last, 1st Race July 10.
- July 12—Jockey Wilbur Barrera, suspended five days, July 15 to 19, 1st Race July 10.

- July 13—KEVALCADE, disqualified from first position and placed last, 6th Race July 12. Jockey Claude Gilbert held blameless.
- July 13—LOP EARED LOUIE, disqualified from first position and placed second, 7th Race July 12.
- July 13—Jockey Roger Cox, suspended five days, July 16 to 20, 7th Race July 12.
- July 17—Jockey Rudy Rincon, suspended five days, July 21 to 25, 1st Race July 16.
- July 19—TIP A TOPPER, disqualified from second position and placed last, 7th Race July 17.
- July 19—Jockey Edith Hinsley, suspended five days, July 22 to 26, 7th Race July 17.
- July 22—cultivate, disqualified from second position and placed fifth, 3rd Race July 21.
- July 22—Jockey Russell Reynolds, suspended five days, July 25 to 29, 3rd Race July 21.
- July 22—MIGHTY SPIRIT, disqualified from second position and placed fourth, 5th Race July 21.
- July 22—Jockey Robert McKeever, Jr., suspended five days, July 25 to 29, 5th Race July 21.
- July 26—GRAPHIC KING, disqualified from third position and placed last, 7th Race July 24. Jockey Terry Hickmott held blameless.
- July 29—Jockey Eddie Maribona, suspended five days, August 1 to 5, 6th Race July 28.
- July 29—BATAJAX, disqualified from third position and placed fourth, 9th Race July 28.
- July 29—Jockey Louis Galley, suspended five days, August 1 to 5, 9th Race July 28.
- Aug. 2—smite, disqualified from third position and placed fifth, 2nd Race July 31.
- Aug. 2—Jockey Vincent Tartaglia, suspended five days, August 5 to 9, 2nd Race July 31.
- Aug. 3—Stable employee Patricia Bilodeau, restored to good standing as of this date.
- Aug. 6—License of stable employee Robert Sanderson, cancelled without prejudice.

- Aug. 10—Stable employee John H. Watson, suspended pending appearance before the Stewards.
- Aug. 17—RED HUGH, disqualified from second position and placed third, 1st Race August 16.
- Aug. 17—Jockey Roger Cox, suspended ten days, August 20 to 29, 1st Race August 16.
- Aug. 17—Jockey Edith Hinsley, fined \$25 for being abusive to a security guard at the stable gate, August 15.
- Aug. 18—Jockey Roger Cox, fined \$25 for engaging in an altercation in the jockeys' quarters, August 17.
- Aug. 18—Jockey Claude Gilbert, fined \$25 for engaging in an altercation in the jockeys' quarters, August 17.
- Aug. 21—DANDY DASHER, disqualified from first position and placed fourth, 9th Race August 20.
- Aug. 21—Jockey Charles Simpson, suspended five days, August 25 to 29, 9th Race August 20.
- Aug. 24—Trainer Edward L. Kuhn, fined \$25 for failure to properly discharge his responsibility as a licensed trainer.
- Aug. 24—Trainer Peter Leiber, fined \$50 for failure to bring his horse, conte's colleen, in the paddock for saddling, 5th Race August 23, thereby necessitating a scratch.
- Aug. 31—MISS BIG GAIN, disqualified from first position and placed second, 3rd Race August 30.
- Aug. 31—Jockey Louis Galley, suspended five days, September 3 to 7, 3rd Race August 30.
- Aug. 31—ROCK HAMMER, disqualified from first position and placed second, 5th Race August 30. Jockey Eddie Maribona held blameless.
- Aug. 31—Jockey Eddie Maribona, fined \$50 for failing to fulfill his riding engagements, August 30.
- Sept. 1—VERTEX SATIN, disqualified from first position and placed second, 3rd Race September 1. Jockey Warren Vedilago held blameless.
- Sept. 3—CEDAR FLAME, disqualified from second position and placed fifth, 3rd Race September 2.
- Sept. 3—Jockey Lawrence Straeten, suspended five days, September 7 to 11, 3rd Race September 2.
- Sept. 3—Jockey Warren Vedilago, suspended five days, September 7 to 11, 4th Race September 2.

- Sept. 4—GEMS OF OPAR, disqualified from first position and placed second, 7th Race September 3. Jockey Oswaldo Rosado held blameless.
- Sept. 6—Jockey Roger Cox, fined \$50 for illegal use of his whip in intentionally striking another rider during the running of the 7th Race, September 4.
- Sept. 10—corby M., disqualified from first position and placed second, 7th Race September 9. Jockey Louis Galley held blameless.
- Sept. 10—Jockey agent Nick Leone, suspended pending appearance before the Stewards.
- Sept. 14—Jockey Charles Simpson, suspended 20 days, September 17 to October 6, for a listless ride on EVERMINES BOY, 5th Race September 1.
- Sept. 18—TEMPERED, disqualified from second position and placed fourth, 7th Race September 17.
- Sept. 18—Jockey Robert McKeever, Jr., suspended five days, September 22 to 26, 7th Race September 17.
- Sept. 23—Stable employee John H. Watson, hereby restored to good standing.
- Sept. 24—Owner-trainer Howard Baker, suspended pending settlement of his financial obligations.
- Sept. 25—Stable employee Terry Hollan, suspended pending disposition of the criminal charges against him in Ontario County Court.
- Sept. 28—Trainer Luther P. Scott, suspended pending his appearance before the Stewards.
- Sept. 29—Stable employee Belton Posey, suspended pending his appearance before the Stewards.
- Sept. 29—Owner Bernard Gordon Bell, restored to good standing as of this date.
- Oct. 5—Owner-trainer Howard Baker, hereby restored to good standing as of this date.
- Oct. 7—License of owner Edward J. Demers, hereby withdrawn without prejudice.
- Oct. 7—Trainer Luther P. Scott, hereby restored to good standing as of this date.
- Oct. 7—Trainer Lewis E. McCall, fined \$25 for creating a disturbance near the Winners Circle, October 2, after the 3rd Race.

- Oct. 20—KID FANFARE, disqualified from second position and placed third, 4th Race October 19.
- Oct. 20—Jockey Edith Hinsley, suspended five days, October 23 to 27, 4th Race October 19.
- Oct. 25—Redistribution of purses, 3rd Race October 2. The New York State Racing Commission Laboratory reported that the urine test of Brenda Beckwith's POLYEGO, trained by Patrick J. Mulrooney, which won the 3rd Race on Saturday, October 2 at Finger Lakes, was positive, indicating the presence of methocarbamol (also known by the trade name Robaxin) which is of such character as could affect the racing condition of the horse. An intensive investigation by the Stewards aided by the Thoroughbred Racing Protective Bureau and track security disclosed that no evidence was found of negligence or carelessness on the part of Mr. Mulrooney or the stable employees. After reviewing all the evidence, the Stewards have decided neither Mr. Mulrooney nor any of his employees violated any part of Part 42, section 42.2 of the Rules of the Racing Commission. The Stewards are of the opinion that no disciplinary action other than redistribution of the purse should be taken and have so reported to the Commission. The purse of the 3rd Race, October 2, is ordered redistributed as follows: 1st, MAY'S HONOR; 2nd, NO JUSTICE; 3rd, HIT MAKER; 4th, SLAPJACKS; 5th, MOODA BOUND. This ruling does not affect the mutuel payoff.
- Oct. 27—zolis image, disqualified from sixth position and placed last, 2nd Race October 26.
- Oct. 27—Jockey Clemente Crispin, suspended five days, October 30 to November 3, 2nd Race October 26.
- Oct. 29—Owner Brian S. Smith, suspended pending appearance before the Stewards.
- Nov. 1—Owner Charles F. Fleming III, suspended pending appearance before the Stewards.
- Nov. 2—IRISHRY, disqualified from third position and placed fifth, 8th Race November 1.
- Nov. 2—Jockey Warren Vedilago, suspended five days, November 3 to 7, 8th Race November 1.

Nov. 2—THE TITMOUSE, disqualified from third position and placed last, 9th Race November 1. Jockey Edith Hinsley held blameless.

Necrology

GEORGE D. WIDENER: Honorary Chairman of The Jockey Club and The NYRA, Mr. Widener died December 8, 1971 at his home, Erdenheim Farm in the suburbs of Philadelphia.

George D. Widener's famed colors of light blue, dark blue hoops, and dark blue cap first appeared on the tracks in 1915 and were in competition to the close of the 1971 New York season. A few of the Thoroughbred greats to carry the Widener colors were eight thirty (1939 Horse of the Year), Jack High, Lucky Draw, Jaipur and the crack filly rare treat.

Mr. Widener served with distinction as chairman of The Jockey Club for 17 years.

At its December meeting the Commission, upon learning of the passing of Mr. Widener, adopted the following resolution:

"WHEREAS, George D. Widener, Honorary Chairman of The New York Racing Association Inc. and The Jockey Club and Chairman of the National Museum of Racing, passed away on Wednesday, the eighth of December, 1971, and

WHEREAS, Mr. Widener, a distinguished leader and patron of the sport of Thoroughbred racing, both here and abroad and an eminent breeder and racing executive, brought strong leadership and wise counsel to Thoroughbred racing, particularly in the State of New York.

Now, Therefore, Be It Resolved, that the New York State Racing Commission records its profound sorrow upon the death of George D. Widener and extends to the members of his family its sympathy on the occasion of their bereavement."

James Cox Brady: James Cox Brady, former board chairman of The NYRA died at the age of 63 in Summit, New Jersey on May 24. Mr. Brady, a prominent owner and breeder was at the time of his death Vice Chairman of The Jockey Club. He served as chairman of the Board of Trustees of The NYRA from December 1961 until his resignation in November of 1969.

His contributions to the sport were recognized by the New York Turf Writers Association in 1966 when it honored him as the man who did the most for racing.

During his tenure as chairman of the Board of Trustees of The NYRA, Mr. Brady enjoyed an amiable working association with the Commission based on a mutual interest of promoting America's greatest Thoroughbred racing in the State of New York.

At its June meeting the Commission, noting that Mr. James Cox Brady had passed away on May 24, 1971, adopted the following resolution:

"Whereas, James Cox Brady, a trustee of The New York Racing Association and chairman of its Board of Trustees from December 27, 1961 to November 12, 1969, passed away on the 24th of May, 1971 and

"Whereas, Mr. Brady, a leader in industry and finance, an international sportsman, a distinguished member of The Jockey Club and an owner and breeder of American, Irish and English Thoroughbreds rendered wise leadership and distinguished service of lasting value to racing in the State of New York as a member of the Board of Trustees of The New York Racing Association since its inception and as its chairman during a period of enormous growth and improvement,

Now, Therefore, Be It Resolved, that the New York State Racing Commission records its profound sorrow upon the death of James Cox Brady, and extends to his family its sympathy on the occasion of their bereavement."

HARRY F. GUGGENHEIM: Captain Guggenheim was a member of The Jockey Club and a Trustee of The NYRA, owner of the Cain Hoy Stable and breeder of the 1971 Horse of the Year, ACK ACK. He died at his home in Sands Point, New York, at the age of eighty.

EDDIE NELOY: Eddie Neloy, one of the nation's leading trainers, died on May 26 at the age of fifty. Mr. Neloy, at the time of his passing, was trainer for the formidable racing stable of Mr. Ogden Phipps, current Chairman of The Joekey Club.

The Commission records with sorrow the passing of Frank J. De Lucia an Inspector appointed in 1966; Robert Mozer, an Inspector appointed in 1965; and Harold J. Connelly, an Inspector appointed in 1968.

The Commission also sadly records the passing of William Gates, an Inspector from 1948 until his retirement in 1969.

NYRA Racing Dates

1971

AQUEDUCT — Spring Meeting Monday, March 8 to Saturday, May 22	66	days
BELMONT — Spring Meeting Monday, May 24 to Saturday, June 26	30	days
AQUEDUCT — Summer Meeting Monday, June 28 to Saturday, July 31		days
SARATOGA MEETING Monday, August 2 to Saturday, August 28	24	days
BELMONT — Fall Meeting Monday, August 30 to Saturday, October 16		days
AQUEDUCT — Final Meeting Monday, October 18 to Wednesday, December 15		days
***	**243	days

^{*}Scheduled for 42 days, meeting extended 9 days to bring total to 51.
**Scheduled to run 234 days, actual total days raced in 1971 season — 243.

1972

AQUEDUCT — Spring Meeting Wednesday, March 1 to Saturday, May 13	64	days
BELMONT — Spring Meeting Monday, May 15 to Saturday, June 17		days
AQUEDUCT — Summer Meeting Monday, June 19 to Saturday, July 29		days
SARATOGA MEETING Monday, July 31 to Saturday, August 26	24	days
BELMONT — Fall Meeting Monday, August 28 to Saturday, October 14	42	days
AQUEDUCT — Final Meeting Monday, October 16 to Friday, December 15	53	days
the state of the s	249	days

Finger Lakes Racing Dates

1971

	**150	days
Fall Meeting Wednesday, September 1 to Tuesday, November 2	*48	days
Summer Meeting Thursday, July 1 to Tuesday, August 31	53	days
Spring Meeting Wednesday, May 5 to Wednesday, June 30	. 49	days

^{*} Scheduled for 37 days, meeting extended 11 racing days to bring total to 48. No racing on the following dates: Tuesday, September 7; Monday, September 13; Monday, September 20; Monday, September 27; Monday, October 4; Monday, October 18.

1972

Spring Meeting Monday, May 1 to Friday, June 30	*44	davs
Summer Meeting Saturday, July 1 to Thursday, August 31		days
Fall Meeting Friday, September 1 to Tuesday, November 7		days
	147	days

^{*} No racing scheduled on Thursdays: May 4, May 11, May 18, May 25, June 1, June 8, June 15, June 22, June 29.

APPENDIX

Owner Fred Cole having failed and refused to appear before the Commission as requested to give testimony upon its inquiry into the matter of undisclosed ownership of horses,

Now, Therefore, it is Ordered, that the license of Owner Fred Cole is suspended pending further action by the Commission.

August 14, 1971

The Commission finds, upon substantial evidence, that Owner Frank J. Caldwell, acting in concert with others, attempted to and did conceal from the Commission the interest of an unlicensed person in horses which have raced or been stabled on the grounds of race tracks under its jurisdiction,

Now, Therefore, it is Ordered that the license of Owner Frank J. Caldwell is suspended pending further action by the Commission. August 16, 1971

NEW YORK STATE RACING COMMISSION

In the Matter

of

FRANK J. CALDWELL

TO: Mr. Frank J. Caldwell 100 Elderfields Road Manhasset, L.I., New York 11030

You are hereby notified to show cause before the New York State Racing Commission (hereafter the "Commission") at 598 Madison Avenue, New York, New York, on October 29, 1971, at 10:30 A.M. why your license as an owner should not be revoked pursuant to Section 3.9 (a) of the Codes, Rules and Regulations of the Commission, and §7915 of the Unconsolidated Laws of New York, in that you have violated or attempted to violate or assisted in the violation or attempted violation of rules which have been approved and adopted by the Commission, and in that you have been guilty of or attempted fraud or misrepresentation in connection with racing, breeding or otherwise and have been guilty of or engaged in similar, related or like practices, such practices being inconsistent with the public interest, convenience and necessity and with (Continued on page 62)

^{**} Scheduled to run 139 days, actual total days raced in 1971 season - 150.

^{**} No racing scheduled on Thursdays: September 14, September 21, September 28, October 5, October 12, October 19, October 26, November 2.

1971 ON-TRACK MEETING STATISTICS - First Section

	Aqueduct 3/8-5/22 66 days	Belmont 5/24-6/26 30 days	Aqueduct 6/28-7/31 30 days	Belmont 8/30-10/16 42 days	Aqueduct 10/18-12/15 51 days
Attendance, excluding track staffs. Mutuel pools. Murphing outributions. Number of flat races. Number of steeplechase and hurdle races. Percentage of "take-out," less minus pools. Percentage of "tutuel pools payable to public.	\$236, 805, 032, 00 \$ 6, 174, 250, 00 594	850,302 97,275,316,00 3,310,300,00 17,93 82,07	879,125 95,872,668.00 2,988,800.00 250 250 17.98 82.02	121,598,412.00 4,704,280.00 353 353 17.84 17.84 82.16	1,210,699 153,716,502.00 3,928,100.00 459 18.00 82.00
Average daily attendance. Average daily mutuel pool. Average mutuel pool per race. Average bet per person per day. Average bet per person per race.	29,641 \$ 3,587,955.00 \$ 398,662.00 \$ 121.05 \$ 13.45	28,343 3,242,511.00 360,279.00 114.40 12.71	29,304 3,195,756.00 355,084.00 109.05	2,895,200.00 321,689.00 118.67	23,739 3,014,049.00 334,894.00 126.97 14.11
Amount in \$100 wagers. Amount in \$ 50 wagers. Amount in \$ 10 wagers. Amount in \$ 2 wagers.	\$ 25,866,400.00 \$ 28,941,200.00 \$ 69,780,280.00 \$ 32,812,100.00 \$ 79,405,052.00	7,271,900.00 13,292,900.00 28,614,200.00 12,581,790.00 35,514,526.00	9,171,000.00 11,262,850.00 27,790,920.00 13,241,000.00 34,406,898.00	8, 597, 600,00 15, 933, 050,00 36, 100, 780,00 15, 830, 410,00 45, 136, 572,00	16,975,900.00 19,080,650.00 45,225,170.00 21,579,340.00 50,855,442.00
REVENUE TO STATE FROM ON-TRACK WAGERING Pari-mutuel commission Breakege Admission taxes Track franchise fees Track franchise fees Occupational licensing fees **Estimated uncashed ticket values **Commission laboratory income — Harness and Commission laboratory	\$ 23,680,503.20 \$ 1,830,409.63 \$ 520,409.63 \$ 620,000.00 \$ 82,250.00	\$ 9,727,531.60 721,967.36 226,777.44 30,000.00	\$ 9,587,286.80 7753,486.53 228,623.33 30,000.00	\$ 12,159,841,20 273,422.35 273,422.39 42,000.00	\$ 15,371 650.20 1,227 544 88 315,561.08 51,000.00 17,850.00
Total revenue to State	\$ 26,179,956.37	\$ 10,716,776.40	\$ 10,609,876.66	\$ 13,310,175.94	\$ 16,983,606.16

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1971 ON-TRACK MEETING STATISTICS - Second Section

	A. & B. Totals 219 days	Saratoga 8/2-8/28 24 days	NYRA Tracks Total 243 days	Finger Lakes 5/5-11/2 150 days	Season Totals 393 days
Attendance, excluding track staffs. #Purses paid including contributions. Number of flat races. Number of steepledases & hundle races. Percentage of "take-out," less minus pools. Percentage of mutuel pools payable to public.	\$705,267,930.00 \$ 21,105,730.00 \$ 11,105,730.00 17,926 17,95 17,95	432,674 36,371,823.00 2,664,425.00 1199 17.88 82.12	\$741,639,753.00 \$ 23,770,155.00 2,125 17.94 82.06	2,197,580.00 2,197,580.00 1,352 17.90 82.10	\$783,910 \$ 25,967,735.00 3,477 17.94 82.06
Average daily attendance. Average anily mutuel pool. Average mutuel pool per race. Average bet per person per day. Average bet per person per race.	\$ 3,220,402.00 \$ 357,822.00 \$ 119.11	18,028 1,515,493.00 168,388.00 84.06 9.34	26,147 3,052,015.00 339,113.00 116.72 12.97	3.868 282,078.00 31,296.00 72.93 8.09	
Amount in \$100 wagers Amount in \$ 50 wagers Amount in \$ 10 wagers Amount in \$ 2 wagers Amount in \$ 2 wagers	\$ 67,882,800.00 \$ 88,510,650.00 \$207,511,350.00 \$ 96,044,640.00 \$245,318,490.00	1,139,100.00 5,353,900.00 9,900,110.00 4,278,045.00 15,700,668.00	\$ 69,021,900.00 \$ 93,864,550.00 \$217,411,460.00 \$100,322,685.00 \$261,019,158.00	3,463,200.00 11,797,090.00 4,119,180.00 22,932,222.00	\$ 69,021,900.00 \$ 97,327,750.00 \$229,208,550.00 \$104,441,865.00 \$283,951,380.00
REVENUE TO STATE FROM ON-TRACK WAGERING: Partner and the commission of the commission of the commission taxes. Track franchise fees: Track franchise franchise fees: Track franc	\$ 70,626,793.00 \$ 6,353,620,75 \$ 1,565,177.78 \$ 219,000.00	\$ 3,273,464.07 241,674.20 125,512.98 24,000.00 8,400.00	\$ 73,800,257.07 \$ 5,595,294.95 \$ 1,690,690.76 \$ 243,000.00 \$ 417,121.00 \$ 144,200.00 \$ 3,720.00	\$ 2,939,415.57 285,482.52 74,501.87 115,025.00 14,520.00 37,500.00 37,500.00	\$ 76 739 672.64 \$ 1,765,282.63 \$ 1,765,282.63 \$ 15,000.00 \$ 60,157.50 \$ 453,585.00 \$ 181,705 \$ 445,00
Total revenue to State	\$ 77,800,391.53	\$ 3,673,051.25	\$ 81,939,921.28	\$ 3,403,723.96	\$85,583.453,74

^{*} Includes purses paid for OTB wagering plus \$63,800 deficiency from 1970. ** Estimated at 92 per cent of the uncashed pari-mutuel funds at December 31, 1971. *** Includes \$59,150 balance due for 1970.

Includes purses paid for OTB wagering plus \$63,800 deficiency from 1970.
 ** Estimated at 92 per cent of the uncashed pari-mutuel funds at December 31, 1971.
 *** Includes \$59,150 balance due for 1970.

(Continued from page 59)

the best interest of racing generally in conformity with the racing laws of the State of New York, in the following instances:

I. On or about August 31, 1970 you submitted an application to the Commission for an owner's license in which you stated under oath that you had purchased the horse casa orange from one "H. Birli" whereas, as you then well knew, you had purchased the horse from Robert Presti, also known as Nicholas Spadea, also known as Ralph R. Libutti (hereafter "Libutti"). II. On or about October 10, 1970 you authorized, allowed and permitted a representation to be made to The New York Racing Association Inc. that you were then the owner of the horse JIM FRENCH which was entered in and ran in a race on that date at Belmont Park in your name as owner whereas, as you then well knew, you were not then the owner of the horse.

III. On or about October 10, 1970, in concert with Libutti and John Campo you concealed from the Commission and The New York Racing Association Inc., and you failed to disclose to the stewards at Belmont Park the fact that Libutti, an unlicensed person, was owner of JIM FRENCH, a horse which was entered in and ran in a race at Belmont Park on said date.

IV. On October 12, 1970 you purchased a 25% ownership interest in the horse JIM FRENCH from Libutti and thereafter held yourself out as the sole owner of the horse whereby you knowingly assisted Libutti in his unauthorized and unlicensed participation in racing.

V. On or about October 16, 1970, in concert with Libutti you concealed from the Commission and The New York Racing Association Inc. the fact that you owned the horse JIM FRENCH in partnership with Libutti, an unlicensed person, which horse was entered in and ran in a race at Belmont Park on said date in your name as owner, a statement of such partnership not having been filed prior to the entry as required by Commission Rule 26.3.

VI. On or about October 29, 1970, Libutti sold to Ralph C. Wilson, Jr. a 25% ownership interest in the horse JIM FRENCH. For the purpose of concealing the fact that Libutti was the seller, \$75,000.00 consideration for the sale was paid to you and you, in turn, paid that amount to Toni Menzella, acting for Libutti. VII. From time to time between on or about November 1, 1970 and January 18, 1971, Libutti sold to Ralph C. Wilson, Jr. additional percentages of ownership interest in the horse JIM

FRENCH whereby his total ownership interest amounted to 60% thereof. For the purpose of concealing the fact that Libutti was the seller, consideration for the sales passed through you.

VIII. On or about November 19, 1970, you concealed from the Commission and The New York Racing Association Inc. the fact that you then owned the horse JIM FRENCH in partnership with Ralph C. Wilson, Jr. and with Libutti, an unlicensed person, which horse was entered in and ran in a race at Aqueduct Race Course on said date in your name as owner, a statement of such partnership not having been filed as required by Commission Rule 26.3.

IX. On or about November 27, 1970, you concealed from the Commission and The New York Racing Association Inc. the fact that you then owned the horse JIM FRENCH in partnership with Ralph C. Wilson, Jr. and with Libutti, an unlicensed person, which horse was entered in and ran in a race at Aqueduct Race Course on said date in your name as owner, a statement of such partnership not having been filed as required by Commission Rule 26.3.

X. On or about February 12, 1971, Libutti repurchased from Ralph C. Wilson, Jr. the 60% ownership interest in the horse JIM FRENCH previously sold to him by Libutti. For the purpose of concealing the ownership interest of Libutti, a bill of sale was supplied to you by Ralph C. Wilson, Jr. and you gave Ralph C. Wilson, Jr. your checks as payment on behalf of Libutti accepting Libutti's notes in like amount.

XI. On or about December 14, 1970, acting on behalf of Libutti you purported to transfer to Mario Biundo a 10% ownership interest in the horse JIM FRENCH and on or about February 26, 1971, you purported to repurchase such interest of Mario Biundo in the horse, which you thereupon purported to transfer to Fred Cole, acting for Libutti, all for the purpose of concealing the ownership interest of Libutti.

XII. On or about March 20, 1971, you concealed from the Commission and The New York Racing Association Inc. the fact that you then owned the horse JIM FRENCH in partnership with Libutti, an unlicensed person, which horse was entered in and ran in a race at Aqueduct Race Course on said date and in your name as owner, a statement of such partnership not having been filed as required by Commission Rule 26.3.

XIII. On or about April 17, 1971, you concealed from the Commission and The New York Racing Association Inc. the fact that you then owned the horse JIM FRENCH in partnership with Libutti, an unlicensed person, which horse was entered in and ran a race at Aqueduct Race Course on said date in your name as owner, a statement of such partnership not having been filed as required by Commission Rule 26.3.

XIV. On or about June 5, 1971, you concealed from the Commission and The New York Racing Association Inc. the fact that you then owned the horse JIM FRENCH in partnership with Libutti, an unlicensed person, which horse was entered in and ran in a race at Belmont Park on said date in your name as owner, a statement of such partnership not having been filed as required by Commission Rule 26.3.

XV. On or about February 5, 1971, you submitted to the Commission an application to renew your owner's license in which you stated under oath that you had purchased the horse JIM FRENCH from Ralph C. Wilson, Jr. whereas, as you then well knew, you had purchased your interest from Libutti.

XVI. On or about April 20, 1971, at Belmont Park you falsely stated to an agent of the Thoroughbred Racing Protective Bureau, an agency providing investigative service to the Commission, that you did not know an individual named Robert Presti, and that one Robert Presti had no interest in the horse JIM FRENCH.

XVII. On or about February 5, 1971, you submitted to the Commission an application under oath to renew your owner's license in which you stated that you owned the horse JIM FRENCH but failed to disclose that Mario Biundo, an unlicensed person, then had an ownership interest in the horse.

XVIII. On or about March 1, 1971, you stated to The Jockey Club, authorized by the Commission to register partnerships in horses, that you were the sole owner of the horse JIM FRENCH whereas, as you well knew, you were not the sole owner of the horse.

XIX. On or about February 5, 1971, you submitted to the Commission an application under oath to renew your owner's license in which you stated that you owned the horse FLEET LAD and had purchased it from Buckchance Farm whereas, as you then well knew, you had purchased the horse from Libutti.

XX. On or about February 5, 1971, you submitted to the Commission an application under oath to renew your owner's license

in which you stated that you owned the horse CHANGE OF SCENERY and had purchased it from Buckchance Farm whereas, as you then well knew, you had purchased the horse from Libutti.

XXI. On or about October 12, 1970, you concealed from the Commission and The New York Racing Association Inc. that you owned the horse Change of scenery in partnership with Libutti, an unlicensed person, which horse was entered in and ran in a race at Belmont Park on said date in your name as owner, a statement of such partnership not having been filed as required by Commission Rule 26.3.

XXII. On or about October 23, 1970, you concealed from the Commission and The New York Racing Association Inc. that you owned the horse thousand ships in partnership with Libutti, an unlicensed person, which horse was entered in and ran in a race at Aqueduct Race Course on said date in your name as owner, a statement of such partnership not having been filed as required by Commission Rule 26.3.

XXIII. On or about November 23, 1970, you concealed from the Commission and The New York Racing Association Inc. that you owned the horse thousand ships in partnership with Libutti, an unlicensed person, which horse was entered in and ran in a race at Aqueduct Race Course on said date in your name as owner, a statement of such partnership not having been filed as required by Commission Rule 26.3.

XXIV. On or about October 31, 1970, the horse otesaga was claimed for your account whereas, as you then well knew, it was claimed collusively for the benefit of Libutti and you, in violation of Commission Rule 38.1.

XXV. On or about November 6, 1970, you concealed from the Commission and The New York Racing Association Inc. that you owned the horse otesaga in partnership with Libutti, an unlicensed person, which horse was entered in and ran in a race at Aqueduct Race Course on said date in your name as owner, a statement of such partnership not having been filed as required by Commission Rule 26.3.

XXVI. On or about November 14, 1970 you concealed from the Commission and The New York Racing Association Inc. that you owned the horse otesaga in partnership with Libutti, an unlicensed person, which horse was entered in and ran in a race at Aqueduct Race Course on said date in your name as owner,

a statement of such partnership not having been filed as required by Commission Rule 26.3.

XXVII. On or about December 7, 1971, you concealed from the Commission and The New York Racing Association Inc. that you owned the horse RULE BY REASON in partnership with Libutti, an unlicensed person, which horse was entered in and ran in a race at Aqueduct Race Course on said date in a stable name adopted by you, a statement of such partnership not having been filed as required by Commission Rule 26.3.

XXVIII. On or about May 15, 1971, for the benefit of Libutti, you purported to purchase the horse PRINCE GRAUSTARK from the Aisco Stable and held yourself out as the owner of the horse which was thereafter stabled at Belmont Park, all for the purpose of assisting Libutti, an unlicensed person, in the unauthorized and unlicensed participation in racing.

XXIX. On or about July 7, 1971 you submitted an affidavit to The New York Racing Association Inc. in which you falsely stated that on said date you were the owner of the horse PRINCE GRAUSTARK.

XXX. On or about February 12, 1971, you purchased a 50% ownership interest in the horse PAX IN TERRA from Libutti. For the purpose of concealing the fact that Libutti was the seller, you accepted a bill of sale signed by Fred Cole purporting to reflect the transfer of such interest to you from him.

The hearing will be conducted pursuant to part 14 of the Codes, Rules and Regulations of the New York State Racing Commission. You are advised that you may have counsel with you during the hearing, that you may cross examine witnesses and that you may bring witnesses and submit documents in your behalf.

HARRY J. MILLAR,

Secretary

October 13, 1971

NEW YORK STATE RACING COMMISSION

IN THE MATTER

of

JOHN P. CAMPO

Order of Suspension

The owner's license and the trainer's license of John P. Campo are hereby suspended, pursuant to Section 3.9 (a) of the Codes, Rules and Regulations of the New York State Racing Commission, (hereafter the "Commission"), and §7915 of the Unconsolidated Laws of New York, in that he has violated or attempted to violate or assisted in the violation or attempted violation of rules which have been approved and adopted by the Commission and in that he has been guilty of or attempted fraud or misrepresentation in connection with racing, breeding or otherwise and has been guilty of or engaged in similar, related or like practices, such practices being inconsistent with the public interest, convenience and necessity and with the best interest of racing generally in conformity with the racing laws of the State of New York, in the following instances:

I. On or about August 5, 1971 John P. Campo (hereafter "Campo") made an entry on The Jockey Club Certificate of Foal Registration #6821133 relating to the horse JIM FRENCH indicating that Frank J. Caldwell had transferred the ownership of the horse to Etta Sarant whereas, as Campo then well knew, Frank J. Caldwell had not transferred ownership of the horse to Etta Sarant. Said certificate was thereafter lodged with the Racing Secretary of The New York Racing Association Inc. II. On or about August 5, 1971 Campo made an entry on The Jockey Club Certificate of Foal Registration #6821133 relating to the horse JIM FRENCH indicating that Frank J. Caldwell had transferred ownership of the horse to Etta Sarant whereas, as Campo then well knew, one Fred Cole had purported to transfer ownership interest to Etta Sarant and George Sarant. Said certificate was thereafter lodged with the Racing Secretary of The New York Racing Association Inc.

III. On or about October 9, 1970 Campo entered the horse JIM FRENCH in the seventh race to be run at Belmont Park on October 10, 1970, and in connection therewith represented to The

New York Racing Association Inc. that Frank J. Caldwell was the owner of the horse and that Frank J. Caldwell had authorized him to enter it whereas, Robert Presti, also known as Nicholas Spadea, also known as Ralph R. Libutti (hereafter "Libutti") instructed him to enter the horse and Libutti was the owner thereof.

IV. During the period commencing on or about August 31, 1970 and continuing at least through August 5, 1971 while acting as trainer of horses purportedly owned by Richard Hamma, Toni Menzella, Fred Cole and Frank J. Caldwell, Campo consorted and associated with Libutti exclusively outside race track grounds and reported to Libutti and took instructions from him concerning such horses, including with respect to their racing activities. Libutti was not and is not a licensed authorized agent and has been barred from the grounds of race tracks under the jurisdiction of this Commission since 1968.

V. In or about August and September of 1970 Campo, at the request of Libutti, trained the horse JACK TEEL. On or about September 9, 1970 Campo entered the horse in a race to be run at Belmont Park on said date and represented to The New York Racing Association Inc. that Buckchance Stable, a name supplied to him by Libutti, was the owner of the horse, although Campo admittedly did not know the identity of any principal of Buckchance Stable.

VI. On or about April 20, 1971 at Belmont Park, Campo denied to an agent of the Thoroughbred Racing Protective Bureau, an agency providing investigative services to the Commission, that he knew an individual named Robert Presti, stating that he was, however, acquainted with an individual named "Presley" whereby the agent was misled.

The suspension shall be for a period of thirty days commencing this date.

In accordance with the provisions of Rule 2.8 of the Commission, the undersigned hereby promulgates the foregoing order of suspension by the Commission and certifies that it evidences the official action taken by the commission.

HARRY J. MILLAR, Secretary

New York, New York October 13, 1971 IN THE MATTER
of
GEORGE T. POOLE

Order of Suspension

The trainer's license of George T. Poole is hereby suspended, pursuant to Section 3.9 (a) of the Codes, Rules and Regulations of the New York State Racing Commission (hereafter the "Commission"), and §7915 of the Unconsolidated Laws of New York, in that he has violated or attempted to violate or assisted in the violation or attempted violation of rules which have been approved and adopted by the Commission, and in that he has been guilty of or attempted fraud or misrepresentation in connection with racing, breeding or otherwise and has been guilty of or engaged in similar, related or like practices, such practices being inconsistent with the public interest, convenience and necessity and with the best interest of racing generally in conformity with the racing laws of the State of New York, in the following instances:

I. On or about May 30, 1970 George T. Poole (hereafter "Poole") entered the horse STRIDER in a race to be run at Belmont Park on said date representing to The New York Racing Association Inc. that the owner of the horse was Buckchance Stable, a stable name of Toni Menzella, whereas, as he then well knew, the owner of the horse was Robert Presti, also known as Nicholas Spadea, also known as Ralph R. Libutti (hereafter "Libutti"), an unlicensed person.

II. On or about June 23, 1970 Poole entered the horse STRIDER in a race to be run at Belmont Park on said date representing to The New York Racing Association Inc. that the owner of the horse was Buckchance Stable, a stable name of Toni Menzella, whereas, as he then well knew, the owner of the horse was Libutti.

III. On or about July 2, 1970 Poole entered the horse STRIDER in a race to be run at Aqueduct Race Course on said date representing to The New York Racing Association Inc. that the owner of the horse was Buckchance Stable, a stable name of Toni Menzella, whereas, as he then well knew, the owner of the horse was Libutti.

IV. On or about June 3, 1970 Poole entered the horse running bear in a race to be run at Belmont Park on said date representing to The New York Racing Association Inc. that the owner of the horse was Buckchance Stable, a stable name of Toni Menzella, whereas the owner of the horse was Libutti.

V. On or about June 12, 1970 Poole entered the horse Running BEAR in a race to be run at Belmont Park on said date representing to The New York Racing Association Inc. that the owner of the horse was Buckchance Stable, a stable name of Toni Menzella, whereas, as he then well knew, the owner of the horse was Libutti. VI. On or about July 10, 1970 Poole entered the horse Running BEAR in a race to be run at Aqueduct Race Course on said date representing to The New York Racing Association Inc. that the owner of the horse was Buckchance Stable, a stable name of Toni Menzella, whereas, as he then well knew, the owner of the horse was Libutti.

VII. On or about July 24, 1970 Poole entered the horse RUNNING BEAR in a race to be run at Aqueduct Race Course on said date representing to The New York Racing Association Inc. that the owner of the horse was Buckchance Stable, a stable name of Toni Menzella, whereas, as he then well knew, the owner of the horse was Libutti.

VIII. On or about August 3, 1970 Poole entered the horse RUN-NING BEAR in a race to be run at Saratoga Race Course on said date representing to The New York Racing Association Inc. that the owner of the horse was Buckchance Stable, a stable name of Toni Menzella, whereas, as he then well knew, the owner of the horse was Libutti.

IX. On or about August 17, 1970 Poole entered the horse RUN-NING BEAR in a race to be run at Saratoga Race Course on said date representing to The New York Racing Association Inc. that the owner of the horse was Buckchance Stable, a stable name of Toni Menzella, whereas, as he then well knew, the owner of the horse was Libutti.

X. On or about July 2, 1970 Poole entered the horse JACK TEEL in a race to be run at Aqueduct Race Course on said date representing to The New York Racing Association Inc. that the owner of the horse was Buckchance Stable, a stable name of Toni Menzella, whereas, as he then well knew, the owner of the horse was Libutti.

XI. On or about July 11, 1970 Poole entered the horse JACK TEEL in a race to be run at Aqueduct Race Course on said date representing to The New York Racing Association Inc. that the owner of the horse was Buckchance Stable, a stable name of Toni Menzella, whereas, as he then well knew, the owner of the horse was Libutti.

XII. On or about July 24, 1970 Poole entered the horse JACK TEEL in a race to be run at Aqueduct Race Course on said date representing to The New York Racing Association Inc. that the owner of the horse was Buckchance Stable, a stable name of Toni Menzella, whereas, as he then well knew, the owner of the horse was Libutti.

XIII. On or about August 6, 1970 Poole entered the horse JACK TEEL in a race to be run at Saratoga Race Course on said date representing to The New York Racing Association Inc. that the owner of the horse was Buckchance Stable, a stable name of Toni Menzella, whereas, as he then well knew, the owner of the horse was Libutti.

XIV. On or about August 13, 1970 Poole entered the horse JACK TEEL in a race to be run at Saratoga Race Course on said date representing to The New York Racing Association Inc. that the owner of the horse was Buckchance Stable, a stable name of Toni Menzella, whereas, as he then well knew, the owner of the horse was Libutti.

XV. From time to time during 1970 Poole assisted an individual who has been denied admission to the grounds of race tracks under the jurisdiction of this Commission, namely Libutti, in wagering on races conducted by The New York Racing Association Inc. at its race tracks by receiving bets by telephone from Libutti and purchasing pari-mutuel tickets on his behalf.

XVI. During the period in which he was acting as a trainer for Libutti under the guise and pretense of Buckchance Stable he was requested by Libutti to claim a horse for his account. Knowing that Libutti was ineligible to claim, he failed to report that improper request to the Stewards, as required by Commission Rule 42.1 (c).

The suspension shall be for a period of thirty days commencing this date.

In accordance with the provision of Rule 2.8 of the Commission, the undersigned hereby promulgates the foregoing order of suspension by the Commission and certifies that it evidences the official action taken by the Commission.

> HARRY J. MILLAR, Secretary

New York, New York October 13, 1971

NEW YORK STATE RACING COMMISSION

IN THE MATTER

of

Order of Suspension

RALPH C. WILSON, JR.

The owner's license of Ralph C. Wilson, Jr. is hereby suspended pursuant to Section 3.9 (a) of the Codes, Rules and Regulations of the New York State Racing Commission (hereafter the "Commission") and §7915 of the Unconsolidated Laws of New York, in that he has violated or attempted to violate or assisted in the violation or attempted violation of rules which have been approved and adopted by the Commission, and in that he has been guilty of or attempted fraud or misrepresentation in connection with racing, breeding or otherwise and has been guilty of or engaged in similar, related or like practices, such practices being inconsistent with the public interest, convenience and necessity and with the best interest of racing generally in conformity with the racing laws of the State of New York, in the following instances:

I. On or about May 28, 1970 Ralph C. Wilson, Jr. (hereafter "Wilson") sold the horse change of scenery then stabled at Belmont Park for the sum of \$30,000.00 to Robert Presti, also known as Nicholas Spadea, also known as Ralph R. Libutti (hereafter "Libutti"). Wilson assisted Libutti, an unlicensed person, in concealing his ownership of the horse by furnishing him with a bill of sale indicating that the horse had been sold to Toni Menzella, a dentist's receptionist, who simultaneously applied for an owner's license.

II. On or about May 16, 1970 Wilson sold the horses STRIDER and RUNNING BEAR then stabled at Belmont Park to Libutti. Wilson assisted Libutti, an unlicensed person, in concealing his ownership of the horses by furnishing him with a bill of sale indicating that the horses had been sold to George Poole.

III. On or about June 26, 1971 Wilson stated to agents of the Thoroughbred Racing Protective Bureau, an agency providing investigative services to the Commission, that he had sold the horse JIM FRENCH to Frank J. Caldwell whereas he had sold the horse to Libutti, and received payment from Libutti.

IV. On or about June 26, 1971 Wilson stated to agents of the Thoroughbred Racing Protective Bureau, an agency providing investigative services to the Commission, that he subsequently repurchased interest in the horse JIM FRENCH from Frank J. Caldwell and that in the negotiations of the repurchase of such ownership interest in the horse he dealt only with Caldwell whereas he dealt with Libutti.

V. On or about October 29, 1970 Wilson purchased a 25% ownership interest in the horse JIM FRENCH purportedly from Frank J. Caldwell but in fact from Libutti. On or about November 19, 1970 Wilson concealed from the Commission and The New York Racing Association Inc. the fact that he then owned the horse in partnership with Frank J. Caldwell and Libutti, an unlicensed person, which horse was entered in and ran in a race at Aqueduct Race Course on said date, a statement of such partnership not having been filed as required by Commission Rule 26.3.

VI. Between on or about November 1, 1970 and January 18, 1971, Wilson purchased additional ownership interest in the horse JIM FRENCH, amounting in total to 60% thereof, knowing that Libutti, with whom he negotiated such purchases, was an undisclosed and unlicensed owner of the horse.

VII. On or about November 27, 1970 Wilson concealed from the Commission and The New York Racing Association Inc. the fact that he then owned the horse JIM FRENCH in partnership with Frank J. Caldwell and Libutti, an unlicensed person, which horse was entered in and ran in a race at Aqueduct Race Course on said date, a statement of such partnership not having been filed as required by Commission Rule 26.3.

VIII. On or about February 1, 1971 Wilson submitted to the Commission an application under oath in which he stated that he owned the horse JIM FRENCH and that no other persons were interested with him in any manner in the ownership of race horses whereas, as he then well knew, Mario Biundo then owned a 10% ownership interest in said horse and Frank J. Caldwell then owned a 30% ownership interest in said horse.

The suspension shall be for a period of thirty days commencing this date.

In accordance with the provision of Rule 2.8 of the Commission, the undersigned hereby promulgates the foregoing order of suspension by the Commission and certifies that it evidences the official action taken by the Commission.

HARRY J. MILLAR, Secretary

New York, New York October 13, 1971

NEW YORK STATE RACING COMMISSION

IN THE MATTER

of

FRANK J. CALDWELL

Whereas the license of owner Frank J. Caldwell was suspended by this Commission on August 14, 1971, and

Whereas owner Frank J. Caldwell subsequently surrendered his license,

Now Therefore, it is

ORDERED that the owner's license of Frank J. Caldwell, shall be, and the same hereby is cancelled.

In accordance with the provisions of Rule 2.8 of the Commission, the undersigned hereby promulgates the foregoing order by the Commission and certifies that it evidences the official action taken by the Commission.

HARRY J. MILLAR, Secretary

New York, New York December 20, 1971 IN THE MATTER
of
FRED COLE

WHEREAS the license of owner Fred Cole was suspended by this Commission on August 14, 1971, and

Whereas owner Fred Cole subsequently surrendered his license, Now Therefore, it is

ORDERED that the owner's license of Fred Cole shall be, and the same hereby is cancelled.

In accordance with the provisions of Rule 2.8 of the Commission, the undersigned hereby promulgates the foregoing order by the Commission and certifies that it evidences the official action taken by the Commission.

HARRY J. MILLAR, Secretary

New York, New York December 20, 1971